

OSCILLATOR REPRESENTATIONS AND SEMISIMPLE PRE-TANNAKIAN CATEGORIES

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ABSTRACT. We investigate tensor products of oscillator representations of symplectic groups over finite fields, as well as of their semidirect products with Heisenberg groups. As an application, we construct non-trivial semisimple pre-Tannakian categories with an object of generic dimension whose second exterior and symmetric powers are simple, while determining the decomposition of its third tensor power into simple objects, thus answering a question by P. Deligne. We also define a related model suggested by P. Deligne based on interpolating initial segments generated by oscillator and linearized vector representations of symplectic groups over finite fields. We prove that this construction gives, generally, semisimple pre-Tannakian categories graded by finite groups of order 4.

1. INTRODUCTION

In this paper, we study questions at the intersection of representation theory and category theory. We begin with the latter perspective, which is easier to describe in general terms.

Recall that by a *pre-Tannakian* category over \mathbb{C} , we mean a \mathbb{C} -linear abelian category with a \mathbb{C} -bilinear associative, commutative, unital tensor product, which is locally finite in the sense that *Hom*-sets are finite-dimensional \mathbb{C} -vector spaces, is rigid in the sense that objects have strong duals [3], and satisfies $End(1) = \mathbb{C}$. An abelian category is *semisimple* if every object is a direct sum of finitely many simple objects. Examples of semisimple pre-Tannakian categories with given properties are often difficult to construct.

Our first result on pre-Tannakian categories is the following theorem, answering a question of P. Deligne:

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Theorem 1. *For every natural number q which is a power of a prime not equal to 2 or 3 and every $t \in \mathbb{C}$ such that $q^t \neq \pm 1, \pm q$, there exists a semisimple pre-Tannakian category $\mathcal{C}_{q,t}$ over \mathbb{C} generated by an object X of dimension q^t such that $X, \Lambda^2(X), \text{Sym}^2(X)$ are simple and*

$$(1) \quad \dim(\text{End}(X^{\otimes 3})) = 2q + 2.$$

This theorem is proved by applying the technique of *interpolation* (see [1, 4, 11, 12]) to the category of direct summands of tensor powers of oscillator representations. We use the technique of *T-algebras*, which was introduced by the author in [11, 12].

The main input is the *oscillator*, or *Weil-Shale*, representation ω_a of $Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)$, where V_N is a $2N$ -dimensional vector space over a finite field \mathbb{F}_q with a symplectic form and $\mathbb{H}_N(\mathbb{F}_q)$ is the Heisenberg group determined by V_N , depends on a choice of $a \in \mathbb{F}_q^\times$ (determining, by Pontrjagin duality, a character $\psi_a : \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times$). More detail on these objects is given in Section 2 below. The proof of Theorem 1 uses certain facts about the oscillator representation, due to R. Howe [7, 8, 13]. The main point is a passage from representations of $Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)$ to representations of $Sp(V_N)$, which has the effect of reducing the exponent of tensor power of an oscillator representation by 1.

This allows us to prove the statements of Theorem 1 about semisimplicity of $\Lambda^2(X)$ and $\text{Sym}^2(X)$, as well as formula (1).

On the other hand, (1) raises the question [2] of decomposing $X^{\otimes 3}$ into simple summands which, in return, turns into a question of decomposition of $\omega_a \otimes \omega_b$ as a representation of $Sp(V_N)$ into simple summands. These endomorphism algebras can be studied using T-algebra data.

Using this approach, we prove

Theorem 2. *For q a power of a prime not equal to 2 or 3, as representations of $Sp(2N, \mathbb{F}_q) = Sp(V_N)$, for $a, b \in \mathbb{F}_q^\times$, the decomposition of $\omega_a \otimes \omega_b$ into irreducible representations can be calculated as follows:*

- **Case 1:** *If $a \equiv -b \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$, then, letting $\chi : \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times$ denote a general multiplicative character of \mathbb{F}_q^\times and letting ϵ denote the quadratic character, we have*

$$(2) \quad \omega_a \otimes \omega_b \cong \left(\bigoplus_{\{x|\chi^2 \neq 1\}/\chi \sim 1/\chi} 2 \cdot Z_\chi \right) \oplus (Z_\epsilon^+ \oplus Z_\epsilon^-) \oplus (2 \cdot 1 \oplus \tilde{Z}_1^+ \oplus \tilde{Z}_1^-)$$

for simple non-isomorphic $Sp(2N, \mathbb{F}_q)$ -representations $Z_\chi, Z_\epsilon^\pm, \tilde{Z}_1^\pm$ of dimensions

$$\begin{aligned} \dim(Z_\chi) &= \frac{q^{2N} - 1}{q - 1} \\ \dim(Z_\epsilon^+) &= \dim(Z_\epsilon^-) = \frac{q^{2N} - 1}{2(q - 1)} \\ \dim(\tilde{Z}_1^\pm) &= \frac{1}{2} \cdot \left(\frac{q^{2N} - q}{q - 1} \pm q^N \right). \end{aligned}$$

- **Case 2:** If $a \not\equiv -b \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$, then, letting $\theta : \mu_{q+1} \rightarrow \mathbb{C}^\times$ denote a general character of the group μ_{q+1} of $(q+1)$ th roots of unity in \mathbb{C}^\times and letting σ denote the character of order 2, we have

$$(3) \quad \left(\bigoplus_{\{\theta|\theta^2 \neq 1\}/\theta \sim 1/\theta} 2 \cdot Y_\theta \right) \oplus (Y_\sigma^+ \oplus Y_\sigma^-) \oplus (Y_1^+ \oplus Y_1^-)$$

$\omega_a \otimes \omega_b \cong$

for simple, non-isomorphic $Sp(2N, \mathbb{F}_q)$ -representations $Y_\theta, Y_\sigma^\pm, Y_1^\pm$ of dimensions

$$\begin{aligned} \dim(Y_\theta) &= \frac{q^{2N} - 1}{q + 1} \\ \dim(Y_\sigma^+) &= \dim(Y_\sigma^-) = \frac{q^{2N} - 1}{2(q + 1)} \\ \dim(Y_1^\pm) &= \frac{1}{2} \cdot \left(\frac{q^{2N} + q}{q + 1} \pm q^N \right). \end{aligned}$$

Comments: 1. The statement of the theorem remains valid for $N = 1$ (where $Sp(V_N) = SL_2(\mathbb{F}_q)$) with the modification that one of the \tilde{Z}_1^\pm, Y_1^\pm is 0.

2. Case 1 is more classical and uses a result of Howe that $\omega_a \otimes \omega_{-a}$ is isomorphic to the \mathbb{C} linearization Ω of the basic (vector) representation V_N of $Sp(2N, \mathbb{F}_q)$. The characters χ involved in (2) are of ‘‘Harish-Chandra’’ type.

Case 2 involves constructing a μ_{q+1} -action on

$$(4) \quad \text{End}_{Sp(2N, \mathbb{F}_q)}(\omega_a \otimes \omega_b)$$

when $a \not\equiv -b \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$. Correspondingly, the characters θ in (3) are of ‘‘Deligne-Lusztig type,’’ although we construct them directly by studying idempotents in (4).

P. Deligne [2] remarked that one can interpolate tensor products of the representations $\bar{\omega}_a, \bar{\omega}_b$ (for $a \neq b \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$) and $\bar{\omega}_a \otimes \bar{\omega}_b$ with summands of the n th tensor powers of the linearized vector representation of $Sp(2N, \mathbb{F}_q) = Sp(V_N)$, $n < N$. The resulting model is graded by $\mathbb{Z}/2 \times \mathbb{Z}/2$ resp. $\mathbb{Z}/4$ depending on whether $q \equiv 1 \pmod{4}$ or $q \equiv 3 \pmod{4}$. In Secions 7 and 8, we construct this model using T-algebras, and prove the grading. We also show that the resulting category is always semisimplifiable and is already semisimple pre-Tannakian when $q^t \neq \pm q^n$, $n \in \mathbb{N}_0$. Following an idea of A. Snowden, we also show that this model $\mathcal{D}_{q,t}$ does not come from a measure on oligomorphic group in the sense of [4].

Finally, translating back into representations of $Sp(V_N) \rtimes \mathbb{H}_N(\mathbb{F}_q)$ and applying interpolation, we obtain the following result about the category $\mathcal{C}_{q,t}$ of Theorem 1:

Theorem 3. *In the category $\mathcal{C}_{q,t}$, $q^t \neq \pm 1, \pm q$, the decomposition of $X^{\otimes 3}$ into simple summands is as follows:*

- **Case 1:** *If $q \equiv 1 \pmod{3}$, then*

$$(5) \quad X^{\otimes 3} \cong \left(\bigoplus_{\{\chi: \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times \mid \chi^2 \neq 1\}/(\chi \sim 1/\chi)} \bigoplus 2 \cdot X_\chi \right) \oplus (X_\epsilon^+ \oplus X_\epsilon^-) \oplus (2 \cdot X_1^0 \oplus \tilde{X}_1^+ \oplus \tilde{X}_1^-)$$

for simple non-isomorphic objects $X_\chi, X_\epsilon^\pm, X_1^0$, and \tilde{X}_1^\pm of dimensions

$$\dim(X_\chi) = q^t \cdot \frac{q^{2t} - 1}{q - 1}$$

$$\dim(X_\epsilon^+) = \dim(X_\epsilon^-) = q^t \cdot \frac{q^{2t} - 1}{2(q - 1)}$$

$$\dim(X_1^0) = q^t$$

$$\dim(\tilde{X}_1^\pm) = \frac{q^t}{2} \cdot \left(\frac{q^{2t} - q}{q - 1} \pm q^t \right).$$

- **Case 2:** *If $q \equiv -1 \pmod{3}$, then*

$$(6) \quad X^{\otimes 3} \cong \left(\bigoplus_{\{\theta: \mu_{q+1} \rightarrow \mathbb{C}^\times \mid \theta \neq 1, \sigma\}/(\theta \sim 1/\theta)} \bigoplus 2 \cdot X_\theta \right) \oplus (X_\sigma^+ \oplus X_\sigma^-) \oplus (X_1^+ \oplus X_1^-)$$

for simple non-isomorphic objects X_θ (for $\theta \neq 1, \sigma$), X_σ^\pm , and X_1^\pm of dimensionss

$$\begin{aligned} \dim(X_\theta) &= q^t \cdot \frac{q^{2t} - 1}{q + 1} \\ \dim(X_\sigma^+) &= \dim(X_\sigma^-) = q^t \cdot \frac{q^{2t} - 1}{2(q + 1)} \\ \dim(X_1^\pm) &= \frac{q^t}{2} \cdot \left(\frac{q^{2t} + q}{q + 1} \pm q^t \right). \end{aligned}$$

Comment: Again, the statement of Theorem 3 remains valid for $q^t = \pm q$ with the modification that one of the objects \widetilde{X}_1^\pm , resp. X_1^\pm , becomes 0. Therefore, in this case, $\dim(\text{End}_{\mathcal{C}_{q,t}}(X^{\otimes 3})) = 2q + 1$.

The present paper is organized as follows: Section 2 contains preliminary computations with the oscillator representation. Section 3 discusses the proof of Case 1 of Theorem 2. The proof of Case 2 of Theorem 2 is completed in Section 4. Sections 5 and 6 treat interpolation: In Section 5, we discuss the T-algebra method and its applications; in Section 6, we discuss semisimplicity and prove Theorem 3. In Sections 7 and 8, we treat the graded models $\mathcal{D}_{q,t}$.

2. THE OSCILLATOR REPRESENTATION AND ITS POWERS

The purpose of this section is to introduce the Weil-Shale representation and its restriction to the symplectic group, and record some general computations, old and new, with the products of Weil-Shale representations. The key point is to establish the interplay between representations of $Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)$ and of $Sp(V_N)$ which is intrinsic throughout our discussion.

We also prove the claim of Theorem 1 for $t = N > 1 \in \mathbb{N}$, verifying (1) for basic object $X = \omega$ in the category of representations $\text{Rep}(Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q))$. To do this, we use a passage from the endomorphism algebras of tensor powers of ω in $\text{Rep}(Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q))$ to the endomorphism algebras of tensor powers of ω of one degree less in $\text{Rep}(Sp(V_N))$. This comes from the classical fact [6] that the tensor product of ω with its dual is the permutation representation $\mathbb{C}V_N$, which we include an explicit proof of. We also include a preliminary result of P. Deligne [2] (Theorem 6 below) about a tensor product of non-dual Weil-Shale representations, which will be needed later to explicitly decompose $\omega^{\otimes 3}$.

2.1. Construction of the Weil-Shale representation. The construction of the classical Weil-Shale representation [13, 7, 8] goes as follows: For a q which is a power of a prime not equal to 2, suppose V_N is a $2N$ -dimensional vector space over \mathbb{F}_q endowed with a symplectic form. To avoid confusion, we specify V_N in the notation of the symplectic group $Sp(2N, \mathbb{F}_q) = Sp(V_N)$ from now on. Recall that the Heisenberg group is

$$\mathbb{H}_N(\mathbb{F}_q) = V_N \times \mathbb{F}_q$$

with the operation that for $v, w \in V_N$, $\lambda, \mu \in \mathbb{F}_q$,

$$(v, \lambda)(w, \mu) = (w + v, \lambda + \mu + \langle v, w \rangle)$$

(and therefore $0 \times \mathbb{F}_q$ is the center of $\mathbb{H}_N(\mathbb{F}_q)$). The symplectic group $Sp(V_N)$ acts on $\mathbb{H}_N(\mathbb{F}_q)$ by acting tautologically on the factor of V_N and trivially on the center. Consider the group

$$Sp(V_N) \ltimes \mathbb{H}_N(\mathbb{F}_q).$$

For a non-trivial character

$$\psi : \mathbb{F}_q \rightarrow \mathbb{C}^\times,$$

there is a unique q^N -dimensional irreducible $\mathbb{H}_N(\mathbb{F}_q)$ -representation ω_ψ (over \mathbb{C}). Then ω_ψ forms a representation of $Sp(V_N) \ltimes \mathbb{H}_N(\mathbb{F}_q)$ called the *Weil-Shale representation* (a priori projective but an actual representation for a finite field \mathbb{F}_q , see [7]). For the remainder of this note, when ψ is fixed, and we will omit it from the notation. When ψ is not fixed, we may also write ω_a where $a \in \mathbb{F}_q^\times$ is the element corresponding to ψ under a fixed identification of \mathbb{F}_q^\times with its non-trivial multiplicative characters.

To avoid confusion, we write $\bar{\omega}$ to denote the restriction of ω to $Sp(V_N)$ and the inflation of this restriction to a representation of $Sp(V_N) \ltimes \mathbb{H}_N(\mathbb{F}_q)$ by letting $\mathbb{H}_N(\mathbb{F}_q)$ act trivially.

Considering the usual inclusion $GL_N(\mathbb{F}_q) \subset Sp(V_N)$, the restriction of ω to $GL_N(\mathbb{F}_q)$ gives the permutation representation $\mathbb{C}\mathbb{F}_q^N$ where $GL_N(\mathbb{F}_q)$ acts on \mathbb{F}_q^N by matrix multiplication, tensored with

$$(7) \quad \epsilon(\det)$$

where $\det : GL_N(\mathbb{F}_q) \rightarrow \mathbb{F}_q^\times$ is the determinant map and $\epsilon : \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times$ is the character of order 2.

2.2. Decomposition of the third power. We need to study the endomorphism algebras of tensor powers of ω . We begin by proving the claim of Theorem 1 for $t = N > 1 \in \mathbb{N}$, i.e. the following

Proposition 4. *For any $N > 1 \in \mathbb{N}$, for any Weil-Shale representation ω of $Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)$, we have*

$$(8) \quad \dim(\text{End}_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\omega^{\otimes 2})) = 2$$

and

$$(9) \quad \dim(\text{End}_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\omega^{\otimes 3})) = 2q + 2.$$

To calculate $\dim(\text{End}_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\omega^{\otimes n}))$, write

$$\Omega := \omega \otimes (\omega^\vee)$$

We first claim the following

Lemma 5. *For $N > 1$, as a representation of $Sp(V_N) \times V_N$, Ω is isomorphic to the space of functions on V_N :*

$$\text{Res}_{Sp(V_N) \times V_N}^{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\Omega) \cong \{f : V_N \rightarrow \mathbb{C}\}$$

where an element $(A, w) \in Sp(V_N) \times V_N$ acts on a function $f : V_N \rightarrow \mathbb{C}$ by sending it to the function

$$(A, w)[f] : V_N \rightarrow \mathbb{C}$$

where for $v \in V_N$

$$((A, w)[f])(v) = \psi(\langle v, w \rangle) \cdot f(A(v)).$$

Proof. First note that we may write

$$\Omega = \bigoplus_{v \in V_N} \Omega_v$$

for lines Ω_v such that an element $w \in V_N = V_N \times \{0\} \subset \mathbb{H}_N(\mathbb{F}_q)$ preserves each Ω_v and acts by multiplication by the character

$$x \mapsto \psi(\langle v, w \rangle) \cdot x.$$

Ω can then be considered as the space of global sections of an $Sp(V_N)$ -equivariant line bundle Ω_v over V_N (as a discrete set) where the action of $Sp(V_N)$ on Ω induces an action of $Sp(V_N)$ on the line bundle, i.e. for $\gamma \in Sp(V_N)$,

$$\gamma(\Omega_v) = \Omega_{\gamma(v)}.$$

However, Ω_v forms a trivial $Sp(V_N)$ -equivariant line bundle, meaning that for every $v \in V_N$, the stabilizer subgroup $Sp(V_N)^v \subseteq Sp(V_N)$ fixing v acts trivially on Ω_v : At $v = 0$, for $N > 0$, $Sp(V_N)^0 = Sp(V_N)$, which is a perfect group (meaning that it has no non-trivial abelian quotients), and therefore acts trivially on Ω_0 . For $v \neq 0$, taking W_v to be the quotient of the orthogonal space $V_N^{\perp v}$ of vectors perpendicular to v by \mathbb{F}_q -multiples of v ,

$$Sp(V_N)^v = Sp(W_v),$$

which again is a perfect group (for $N > 0$).

Therefore, Ω is the space of global sections of the trivial $Sp(V_N)$ -equivariant line bundle, i.e. a space of functions

$$(10) \quad \Omega = \{f : V_N \rightarrow \mathbb{C}\},$$

and the action of $Sp(V_N) \times V_N \subset Sp(V_N) \times \mathbb{H}_n(\mathbb{F}_q)$ on a function f in (10) is given by $Sp(V_N)$ acting by composition, and $w \in V_N$ acting by sending f to the function

$$\begin{aligned} V_N &\rightarrow \mathbb{C} \\ v &\mapsto \psi(\langle v, w \rangle) \cdot f(v) \end{aligned}$$

□

By Lemma 5, we therefore have

$$Res_{Sp(V_N) \times V_N}^{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\Omega^{\otimes k}) \cong \{f : V_N^k \rightarrow \mathbb{C}\},$$

which is generated by the character functions $\mathbf{1}_{(v_1, \dots, v_{k+1})}$ for $v_i \in V_N$ (which is 1 at (v_1, \dots, v_{k+1}) and 0 at all other elements of V_N^{k+1}).

The fixed points

$$(11) \quad (\Omega^{\otimes k+1})^{\mathbb{H}_N(\mathbb{F}_q)} \cong (Res_{Sp(V_N) \times V_N}^{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\Omega^{\otimes k+1}))^{V_N}$$

(the isomorphism follows since $\mathbb{F}_q \subset \mathbb{H}_N(\mathbb{F}_q)$ acts trivially on Ω) are then generated by $\mathbf{1}_{(v_1, \dots, v_{k+1})}$ for $v_i \in V_N$ such that for every $u \in V_N$,

$$\begin{aligned} \mathbf{1}_{(v_1, \dots, v_{k+1})} &= u(\mathbf{1}_{(v_1, \dots, v_{k+1})}) = \\ \psi(\langle u, v_1 \rangle) \cdots \psi(\langle u, v_{k+1} \rangle) \cdot \mathbf{1}_{(v_1, \dots, v_{k+1})} &= \\ \psi(\langle u, v_1 + \dots, v_{k+1} \rangle) \cdot \mathbf{1}_{(v_1, \dots, v_{k+1})} & \end{aligned}$$

meaning that for every $u \in V_N$

$$\langle u, v_1 + \dots, v_{k+1} \rangle = 0,$$

which is equivalent to $v_1 + \dots + v_{k+1} = 0$.

Therefore, (11) is identified with the space of functions on V_N^{k+1} with support on

$$(12) \quad \{(v_1, \dots, v_{k+1}) \in V_N^{k+1} \mid v_1 + \dots + v_{k+1} = 0 \in V_N\}.$$

Thus, for every $k \in \mathbb{N}$, there is an isomorphism

$$(13) \quad Res_{Sp(V_N)}^{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\Omega^{\otimes k}) \cong (\Omega^{\otimes k+1})^{\mathbb{H}_N(\mathbb{F}_q)}$$

as representations of $Sp(V_N)$ for $N \gg 0$ following from Lemma 5 and the isomorphism between (12) and V_N^k .

Now, by duality,

$$End_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\omega^{\otimes k+1}) \cong Hom_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(1, \Omega^{\otimes k+1}),$$

which is identified with the fixed points

$$(14) \quad (\Omega^{\otimes k+1})^{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)} \cong ((\Omega^{\otimes k+1})^{\mathbb{H}_N(\mathbb{F}_q)})^{Sp(V_N)}.$$

By (13), (14) is isomorphic to the $Sp(V_N)$ fixed points

$$(Res_{Sp(V_N)}^{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\Omega^{\otimes k}))^{Sp(V_N)},$$

which are isomorphic to

$$Hom_{Sp(V_N)}(1, \Omega^{\otimes k}).$$

Therefore, for $t \in \mathbb{C} \setminus \mathbb{Z}$, for $N \gg 0$,

$$End_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\omega^{\otimes k+1}) \cong Hom_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(1, \Omega^{\otimes k+1}) \cong Hom_{Sp(V_N)}(1, \Omega^{\otimes k})$$

the dimension of which, by Lemma 5, can be calculated as the number of orbits of $Sp(V_N)$ on V_N^k .

For example, we can verify that

$$End_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\omega) = 1$$

(the number of $Sp(V_N)$ orbits of $V_N^0 = 0$), and therefore that ω is simple.

The proof of Proposition 4 is also similarly elementary:

Proof of Proposition 4. We get (8) since the dimension of

$$End_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\omega^{\otimes 2}) = 2$$

because V_N has two $Sp(V_N)$ -orbits: 0 and $V_N \setminus 0$.

Finally, we get (9) since

$$End_{Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)}(\omega^{\otimes 3}) = 2q + 2$$

because V_N^2 has $2q + 2$ $Sp(V_N)$ -orbits, which are as follows:

(A) 1 orbit of the form

$$\{(0, 0)\},$$

(B) $q + 1$ orbits of the form

$$\begin{aligned} &\{(v, 0) \mid v \in V_N\}, \{(0, v) \mid v \in V_N\}, \\ &\{(v, \lambda \cdot v) \mid v \in V_N\}, \text{ for } \lambda \in \mathbb{F}_q^\times \end{aligned}$$

corresponding to “slopes” $\lambda \in \mathbb{P}^1(\mathbb{F}_q)$.

(C) q orbits of the form

$$\{(v, w) \mid \langle v, w \rangle = \mu, v, w \text{ linearly independent}\}, \text{ for } \mu \in \mathbb{F}_q.$$

□

2.3. A tensor product formula. To more explicitly calculate decomposition of tensor powers of the Weil-Shale representations, we will also need the following computation:

Theorem 6 (following P. Deligne, [2]). *For $a, b \in \mathbb{F}_q^\times$ such that $a + b \neq 0$, as representations of $Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)$ for any $N \in \mathbb{N}$ (as long as $V_N \neq \mathbb{F}_3^2$),*

$$(15) \quad \omega_a \otimes \omega_b \cong \bar{\omega}_{ab(a+b)} \otimes \omega_{a+b}.$$

Proof. N is fixed. To simplify notation, in this proof, we omit the subscript N of V . Let us denote by $S(v, w)$ for $v, w \in V$ the symplectic form of V . Write V_1, V_2 for two copies of V , with symplectic forms S_1, S_2 equivalent to S , and isomorphisms

$$\begin{aligned} V &\xrightarrow{\cong} V_i \\ v &\mapsto v_i \end{aligned}$$

for $i = 1, 2$.

It is enough to consider ω_a, ω_b as projective representation of the quotient

$$(Sp(V) \times \mathbb{H}_N(\mathbb{F}_q))/Z(Sp(V) \times \mathbb{H}_N(\mathbb{F}_q)),$$

which is the affine symplectic group

$$Sp(V) \times V.$$

For every $a \in \mathbb{F}_q^\times$, the representation ω_a for (V, S) is isomorphic to ω_1 for $(V, a \cdot S)$ (replacing the symplectic form $S(v, w)$ on V by

$a \cdot S(v, w)$). Thus, $\omega_a \otimes \omega_b$ for (V, S) can be considered the pullback of ω_1 for $(V_1 \oplus V_2, a \cdot S_1 + b \cdot S_2)$, using the diagonal embedding

$$\begin{aligned} \Delta : V &\hookrightarrow V_1 \oplus V_2 \\ v &\mapsto (v_1, v_2) \end{aligned}$$

Note that the pullback of the symplectic form $a \cdot S_1 + b \cdot S_2$ on $V_1 \oplus V_2$ along Δ is the form $(a + b) \cdot S$ on V .

Now we may also consider an antidiagonal embedding

$$\begin{aligned} \Delta' : V &\hookrightarrow V_1 \oplus V_2 \\ v &\mapsto (bv_1, -av_2), \end{aligned}$$

which has image $Im(\Delta')$ orthogonal to $Im(\Delta)$ using the form $a \cdot S_1 + b \cdot S_2$ on $V_1 \oplus V_2$. The pullback of the symplectic form $a \cdot S_1 + b \cdot S_2$ on $V_1 \oplus V_2$ along Δ' is the form $a \cdot b \cdot (a + b) \cdot S$ on V . Reparametrizing using the isomorphism

$$V_1 \oplus V_2 \cong Im(\Delta) \oplus Im(\Delta'),$$

we get an isomorphism between ω_1 for $(V_1 \oplus V_2, a \cdot S_1 + b \cdot S_2)$ and a tensor product of the pullbacks of ω_1 from $Im(\Delta)$ and $Im(\Delta')$. However, the embedding

$$\begin{array}{ccc} Sp(V) \times V & \longrightarrow & Sp(V_1 \oplus V_2) \times (V_1 \oplus V_2) \\ & & \downarrow \\ & & (Sp(Im(\Delta)) \times Im(\Delta)) \times (Sp(Im(\Delta')) \times Im(\Delta')) \end{array}$$

projects V bijectively into the first coordinate $Im(\Delta)$ (and to 0 on the second coordinate $Im(\Delta')$), though it continues to map $Sp(V) \hookrightarrow Sp(Im(\Delta')) \times Im(\Delta')$ diagonally. Therefore, we obtain that as (projective) $Sp(V) \times V$ -representations,

$$\omega_a \otimes \omega_b \cong \bar{\omega}_{ab(a+b)} \otimes \omega_{a+b}$$

(where now $\bar{\omega}_{ab(a+b)}$ is considered as the $Sp(V) \times V$ -representation from letting V act trivially on the restriction of $\omega_{ab(a+b)}$ to $Sp(V)$).

Since there is only one way to extend back to representations of $Sp(V) \times \mathbb{H}_N(\mathbb{F}_q)$, we can conclude (15). \square

By Theorem 6, in particular, $\omega^{\otimes 3}$ can be expressed as a tensor product of two inflations of restrictions $\bar{\omega}$ of some Weil-Shale representations, with one simple (unrestricted) Weil-Shale representation. Therefore, to decompose $\omega^{\otimes 3}$ in $Rep(Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q))$, we must decompose degree 2 tensor products of oscillator representations $\bar{\omega}$ in $Rep(Sp(V_N))$.

3. PROOF OF THEOREM 2 - CASE 1

In the current and next section, we will prove Theorem 2. We shall replace a, b in the statement of the theorem by A, B , to avoid conflict with some notation we will need later.

Theorem 2 concerns the tensor product

$$(16) \quad \bar{\omega}_A \otimes \bar{\omega}_B$$

for $A, B \in \mathbb{F}_q^\times$. We approach this calculation separately depending on whether $\bar{\omega}_A$ and $\bar{\omega}_B$ are dual $Sp(V_N)$ -representations. Recall two classical facts about oscillator representations (see [7], for example): that

$$(\omega_A)^\vee = \omega_{-A}$$

(and therefore, $(\bar{\omega}_A)^\vee = \bar{\omega}_{-A}$, as well) and

$$(17) \quad \bar{\omega}_A \cong \bar{\omega}_B \text{ if and only if } A \equiv -B \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2.$$

Therefore, our cases of (16) separated based on whether or not

$$A \equiv -B \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2.$$

Proof of Case 1 of Theorem 2. Suppose $A \equiv -B \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$ (i.e. $\bar{\omega}_B \cong (\bar{\omega}_A)^\vee$). By Lemma 5, we then have

$$\bar{\omega}_A \otimes \bar{\omega}_B \cong \mathbb{C}V_N$$

where $Sp(V_N)$ acts on $\mathbb{C}V_N$ by matrix multiplication (identifying the character function).

To decompose $\mathbb{C}V_N$, first note that for every $\lambda \in \mathbb{F}_q^\times$, the multiplication map

$$\begin{aligned} \mathbb{C}V_N &\rightarrow \mathbb{C}V_N \\ (v) &\mapsto (\lambda v) \end{aligned}$$

commutes with the action of $Sp(V_N)$. Therefore, for a multiplicative character

$$\chi : \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times,$$

we may consider the $Sp(V_N)$ -equivariant map

$$\begin{aligned} e_\chi : \mathbb{C}V_N &\rightarrow \mathbb{C}V_N \\ (v) &\mapsto \frac{1}{q-1} \sum_{\lambda \in \mathbb{F}_q^\times} \chi(\lambda) \cdot (\lambda v). \end{aligned}$$

For multiplicative characters $\chi : \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times$, the endomorphisms

$$e_\chi \in \text{End}_{Sp(V_N)}(\mathbb{C}V_N)$$

form a system of disjoint commuting idempotents, and therefore, denoting their images by

$$Z_\chi := e_\chi(\mathbb{C}V_N),$$

we obtain a decomposition

$$(18) \quad \mathbb{C}V_N \cong \bigoplus_{\chi: \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times} Z_\chi.$$

Note that for every non-trivial $\chi \neq 1$,

$$(19) \quad \dim(Z_\chi) = \frac{q^{2N} - 1}{q - 1},$$

and

$$(20) \quad \dim(Z_1) = \frac{q^{2N} - 1}{q - 1} + 1$$

(the extra dimension arising from (0)).

Now consider the $Sp(V_N)$ -equivariant map

$$\begin{aligned} f : \mathbb{C}V_N &\rightarrow \mathbb{C}V_N \\ (v) &\mapsto \sum_{S(v,w)=1} (w) \end{aligned}$$

where the sum for $f(v)$ runs over $w \in V_N$ for which $S(v, w) = 1$. We find that for $\chi : \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times$, $v \in V_N$,

$$\begin{aligned} f(e_\chi((v))) &= \sum_{\lambda \in \mathbb{F}_q^\times} \sum_{S(\lambda v, w)=1} \chi(\lambda) \cdot (w) = \\ \sum_{\lambda \in \mathbb{F}_q^\times} \sum_{S(v, u)=1} \chi(\lambda) \cdot (\lambda^{-1}u) &= \sum_{\mu \in \mathbb{F}_q^\times} \sum_{S(v, u)=1} \chi(\mu^{-1}) \cdot (\mu u) = \\ e_{1/\chi}(f((v))) \end{aligned}$$

and therefore, f restricts to isomorphisms

$$Z_\chi \cong Z_{1/\chi}.$$

Therefore, we may rewrite (18) as

$$\mathbb{C}V_N = \left(\bigoplus_{\{\chi: \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times | \chi \neq 1, \epsilon\} / (\chi \sim 1/\chi)} 2 \cdot Z_\chi \right) \oplus Z_\epsilon \oplus Z_1.$$

For $\chi = \epsilon$, resp. 1, the map f restricts to an endomorphism of Z_ϵ , Z_1 . On Z_ϵ , we can use f to compute a further decomposition of Z_ϵ :

To find idempotents in $\text{End}(Z_\epsilon)$, we must first calculate $f|_{Z_\epsilon}^{\circ 2}$. For $v \in V_N$, we have

$$f(e_\epsilon(v)) = \sum_{\lambda \in \mathbb{F}_q^\times} \sum_{S(v,u)=\lambda^{-1}} \epsilon(\lambda)(u)$$

and therefore

$$f \circ f(e_\epsilon(v)) = \sum_{\lambda \in \mathbb{F}_q^\times} \sum_{S(v,u)=\lambda^{-1}} \sum_{S(u,w)=1} \epsilon(\lambda) \cdot (w).$$

Without loss of generality, (using the standard model for $V = (\mathbb{F}_q)^N \oplus (\mathbb{F}_q)^N$), take $v = (1, 0, \dots, 0 \mid 0, \dots, 0)$. Clearly, there are q^{2n-1} choices of u such that

$$S(v, u) = \lambda^{-1},$$

given by

$$u = (?, \dots, ? \mid \lambda^{-1}, ?, \dots, ?)$$

where the ? can be replaced by any element of \mathbb{F}_q . For each such choice of u , there is then one contributed term of the form

$$\epsilon(\lambda) \cdot (-\lambda, 0, \dots, 0 \mid 0, \dots, 0),$$

since $S(u, (-\lambda, 0, \dots, 0 \mid 0, \dots, 0)) = 1$. Terms involving vectors $w \in V_N$ which are not of the form

$$(-\lambda, 0, \dots, 0 \mid 0, \dots, 0)$$

for $\lambda \in \mathbb{F}_q^\times$ will arise (with equal multiplicity) from different choices of u for each $\lambda \in \mathbb{F}_q^\times$, and therefore, will have a coefficient which is a multiple of $\sum_{\lambda \in \mathbb{F}_q^\times} \epsilon(\lambda) = 0$. Therefore, terms of this form cancel, and we

have

$$f(f(e_\epsilon(v))) = \epsilon(-1) \cdot q^{2n-1} \cdot e_\epsilon(v)$$

(note that $\epsilon(-1) = \left(\frac{-1}{q}\right)$). In other words, we have

$$f|_{Z_\epsilon} \circ f|_{Z_\epsilon} = \epsilon(-1) \cdot q^{2n-1} \cdot e_\epsilon.$$

We then have idempotents

$$e_\epsilon^\pm := \frac{1}{2} \left(e_\epsilon \pm \frac{f|_{Z_\epsilon}}{\sqrt{\epsilon(-1) \cdot q^{2n-1}}} \right).$$

Since f has trace 0, both e_ϵ^+ and e_ϵ^- have trace

$$\frac{q^{2n} - 1}{2(q - 1)}.$$

Write

$$Z_\epsilon^\pm := \text{Im}(e_\epsilon^\pm).$$

Replacing Z_ϵ , we get

$$\mathbb{C}V_N = \left(\bigoplus_{\{\chi: \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times \mid \chi \neq 1, \epsilon\} / (\chi \sim 1/\chi)} 2 \cdot Z_\chi \right) \oplus (Z_\epsilon^+ \oplus Z_\epsilon^-) \oplus Z_1.$$

It remains to decompose Z_1 . First, we identify

$$Z_1 \cong \mathbb{C}\mathbb{P}(V_N) \oplus 1,$$

where 1 on the right hand side is generated by $(0) \in V_N$, and $\mathbb{P}(V_N)$ consists of 1-dimensional subspaces of V_N , by identifying an element $\langle v \rangle \in \mathbb{P}(V_N)$ with

$$\sum_{w \in \langle v \rangle} (w) \in Z_1 \subseteq \mathbb{C}V_N.$$

(Note that $\mathbb{P}(V_N) \cong \mathbb{P}^{2N-1}(\mathbb{F}_q)$, and $\gamma \in Sp(V_N)$ acts on an $\langle v \rangle \in \mathbb{P}(V_N)$ by sending it to $\langle \gamma v \rangle$.) To decompose $\mathbb{C}\mathbb{P}(V_N)$ further, consider the endomorphism

$$g : \mathbb{C}V_N \rightarrow \mathbb{C}V_N \\ (v) \mapsto \sum_{S(u,v)=0, \dim(\langle v,u \rangle)=2} (u).$$

On $\mathbb{C}\mathbb{P}(V_N)$, g acts by

$$g(\langle v \rangle) = \sum_{\langle w \rangle \perp \langle v \rangle, \langle v \rangle \neq \langle w \rangle} \langle w \rangle,$$

where the sum runs over all lines different from and perpendicular to $\langle v \rangle$. Considering the $\langle v \rangle$ as a basis for $\mathbb{C}\mathbb{P}(V_N)$, we see that

$$(21) \quad \text{tr}(g|_{\mathbb{C}\mathbb{P}(V_N)}) = 0$$

We additionally see another fixed point

$$(22) \quad \sum_{\langle v \rangle \in \mathbb{P}(V_N)} \langle v \rangle,$$

meaning that we may decompose

$$\mathbb{C}\mathbb{P}(V_N) \cong 1 \oplus \tilde{Z}_1.$$

On this summand 1, generated by (22), we see that

$$g\left(\sum_{\langle v \rangle \in \mathbb{P}(V_N)} \langle v \rangle\right) = \frac{q^{2N} - q}{q - 1} \cdot \left(\sum_{\langle v \rangle \in \mathbb{P}(V_N)} \langle v \rangle\right)$$

since the lines $\langle w \rangle$ orthogonal to and different from $\langle v \rangle$ are indexed by $\mathbb{P}^{2N-2}(\mathbb{F}_q) \setminus \{\langle v \rangle\}$, and

$$(23) \quad |\mathbb{P}^{2N-2}(\mathbb{F}_q) \setminus \{\langle v \rangle\}| = \frac{q^{2N-1} - 1}{q - 1} - 1 = \frac{q^{2N-1} - q}{q - 1}.$$

Therefore, on the summand $1 \subseteq \mathbb{C}\mathbb{P}(V_N)$, g acts as the identity multiplied by (23), and therefore has trace (23). Hence, combining this with (21), we see that

$$\text{tr}(g|_{\tilde{Z}_1}) = -\frac{q^{2N-1} - q}{q - 1}.$$

It remains to decompose \tilde{Z}_1 . First, we must calculate $g|_{\tilde{Z}_1} \circ g|_{\tilde{Z}_1}$. We begin by calculating

$$(24) \quad g|_{\mathbb{C}\mathbb{P}(V_N)} \circ g|_{\mathbb{C}\mathbb{P}(V_N)}(\langle v \rangle)$$

for some $\langle v \rangle \in \mathbb{P}(V_N)$.

As stated before, there are (23) elements $\langle w \rangle$ such that $\langle v \rangle \perp \langle w \rangle$ and $\langle v \rangle \neq \langle w \rangle$. Since the roles of v and w are symmetric, each of these choices contributes a term of $\langle v \rangle$ in (24). In other words, $g|_{\mathbb{C}\mathbb{P}(V_N)} \circ g|_{\mathbb{C}\mathbb{P}(V_N)}$ has a term of the form

$$(25) \quad \frac{q^{2N-1} - q}{q - 1} \cdot \text{Id}_{\mathbb{C}\mathbb{P}(V_N)}.$$

Next, for each $\langle w \rangle$ such that $\langle v \rangle \perp \langle w \rangle$ and $\langle v \rangle \neq \langle w \rangle$, the choices of $\langle u \rangle$ such that $\langle v \rangle, \langle w \rangle \perp \langle u \rangle$ and $\langle u \rangle \neq \langle v \rangle, \langle w \rangle$ are indexed by $\mathbb{P}^{2N-3} \setminus \{\langle v \rangle, \langle w \rangle\}$, and

$$|\mathbb{P}^{2N-3} \setminus \{\langle v \rangle, \langle w \rangle\}| = \frac{q^{2N-2} - 1}{q - 1} - 2.$$

Each choice of $\langle u \rangle$ contributes one term of $\langle w \rangle$ in (24), giving in total, a term of the form

$$\left(\frac{q^{2N-2} - 1}{q - 1} - 2\right) \cdot \left(\sum_{\langle w \rangle \perp \langle v \rangle, \langle v \rangle \neq \langle w \rangle} \langle w \rangle\right) = \left(\frac{q^{2N-2} - 1}{q - 1} - 2\right) \cdot g(\langle v \rangle).$$

Therefore, $g|_{\mathbb{C}\mathbb{P}(V_N)} \circ g|_{\mathbb{C}\mathbb{P}(V_N)}$ also has a term of the form

$$(26) \quad \left(\frac{q^{2N-2} - 1}{q - 1} - 2\right) \cdot g|_{\mathbb{C}\mathbb{P}(V_N)}.$$

All remaining terms $\langle w \rangle$ occurring in (24) must then satisfy that $\langle v \rangle \not\perp \langle w \rangle$. We must count the number of $\langle u \rangle$ such that $\langle u \rangle \perp \langle v \rangle, \langle w \rangle$, which therefore implies that

$$\langle u \rangle \neq \langle v \rangle, \langle w \rangle$$

(by assumption on w), which are precisely indexed by $\mathbb{P}^{2N-3}(\mathbb{F}_q)$. We have

$$|\mathbb{P}^{2N-3}(\mathbb{F}_q)| = \frac{q^{2N-2} - 1}{q - 1}.$$

Therefore, $g|_{\mathbb{CP}(V_N)} \circ g|_{\mathbb{CP}(V_N)}$ has a term of the form

$$(27) \quad \left(\frac{q^{2N-2} - 1}{q - 1} \right) \cdot \left(\sum_{\langle v \rangle \perp \langle w \rangle} \langle w \rangle \right).$$

Hence, (24) is

$$(28) \quad \begin{aligned} & \frac{q^{2N-1} - q}{q - 1} \cdot \langle v \rangle + \left(\frac{q^{2N-2} - 1}{q - 1} - 2 \right) \cdot g(\langle v \rangle) + \\ & + \left(\frac{q^{2N-2} - 1}{q - 1} \right) \cdot \left(\sum_{\langle v \rangle \perp \langle w \rangle} \langle w \rangle \right). \end{aligned}$$

To calculate, for $v \in \tilde{Z}_1$,

$$(29) \quad g|_{\tilde{Z}_1} \circ g|_{\tilde{Z}_1}(\langle v \rangle),$$

note that in (28), as an element of \tilde{Z}_1 , we have

$$\sum_{\langle v \rangle \perp \langle w \rangle} \langle w \rangle = - \left(\sum_{\langle v \rangle \perp \langle w \rangle} \langle w \rangle \right) = -(\langle v \rangle + g|_{\tilde{Z}_1}(\langle v \rangle)).$$

Hence, collecting coefficients, we see that in \tilde{Z}_1 ,

$$\begin{aligned} g|_{\tilde{Z}_1} \circ g|_{\tilde{Z}_1} &= -2 \cdot g(\langle v \rangle) + \frac{q^{2N-1} - q - q^{2N-2} + 1}{q - 1} \cdot \langle v \rangle = \\ &= -2 \cdot g(\langle v \rangle) + (q^{2N-2} - 1) \cdot \langle v \rangle. \end{aligned}$$

Thus, the eigenvalues of $g|_{\tilde{Z}_1}$ are

$$q^{N-1} - 1 \text{ and } -(q^{N-1} + 1),$$

and we can define idempotents

$$\tilde{e}_1^+ := \frac{g|_{\tilde{Z}_1} + (q^{N-1} + 1) \cdot Id_{\tilde{Z}_1}}{2 \cdot q^{N-1}},$$

and

$$\tilde{e}_1^- := \frac{-g|_{\tilde{Z}_1} + (q^{N-1} - 1) \cdot Id_{\tilde{Z}_1}}{2 \cdot q^{N-1}}.$$

Writing

$$\tilde{Z}_1^\pm := Im(\tilde{e}_1^\pm),$$

we have

$$\dim(\tilde{Z}_1^\pm) = \frac{1}{2} \cdot \left(\frac{q^{2N} - q}{q - 1} \pm q^N \right).$$

To summarize, so far, we have decomposed the $Sp(V_N)$ -representation $\mathbb{C}V_N$ as

$$(30) \quad \mathbb{C}V_N = \left(\bigoplus_{\{\chi: \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times \mid \chi \neq 1, \epsilon\} / (\chi \sim 1/\chi)} 2 \cdot Z_\chi \right) \oplus (Z_\epsilon^+ \oplus Z_\epsilon^-) \oplus (2 \cdot 1 \oplus \tilde{Z}_1^+ \oplus \tilde{Z}_1^-),$$

with

$$\begin{aligned} \dim(Z_\chi) &= \frac{q^{2N} - 1}{q - 1} \text{ for } \chi \in \{\chi: \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times \mid \chi \neq 1, \epsilon\} / (\chi \sim 1/\chi) \\ \dim(Z_\epsilon^+) &= \dim(Z_\epsilon^-) = \frac{q^{2N} - 1}{2(q - 1)} \\ \dim(\tilde{Z}_1^\pm) &= \frac{1}{2} \cdot \left(\frac{q^{2N} - q}{q - 1} \pm q^N \right), \end{aligned}$$

giving the claimed decomposition in Case 1 of Theorem 2.

If all these summands are non-isomorphic and are simple, we then can calculate that, as a \mathbb{C} -vector space,

$$\begin{aligned} \text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_{-A}) &= \\ \left(\frac{q - 3}{2} \cdot M_2(\mathbb{C}) \right) &\oplus (\mathbb{C} \oplus \mathbb{C}) \oplus (M_2(\mathbb{C}) \oplus \mathbb{C} \oplus \mathbb{C}) \end{aligned}$$

where $M_2(\mathbb{C})$ denotes the 2 by 2 matrix algebra on \mathbb{C} , and therefore we recover that

$$\begin{aligned} \dim(\text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_{-A})) &= \dim(\text{End}_{Sp(V_N)}(\mathbb{C}V_N)) = \\ \dim(\text{Hom}_{Sp(V_N)}(1, \mathbb{C}(V_N \oplus V_N))) &= 2q + 2, \end{aligned}$$

(since $\mathbb{C}V_n$ is self-dual), agreeing with the argument and count of $Sp(V_N)$ -orbits in $\mathbb{C}V_N$ at the end of Proof of Proposition 4. If any of the summands of (30) are non-isomorphic or split further, the dimension of $\text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_{-A})$ would be larger, giving a contradiction. Thus, we have proved Case 1 of Theorem 2. \square

4. PROOF OF THEOREM 2 - CASE 2

We now consider the case $A \not\equiv -B \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$. Unlike in the case of $A \equiv -B \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$, now $\bar{\omega}_A \otimes \bar{\omega}_B$ is not isomorphic to Ω , so we need to develop a new formula for composition in

$$(31) \quad \text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B).$$

This will be done in Lemma 7 below.

4.1. The multiplicative structure of (31). We begin with some preliminary discussion.

By duality, we have an isomorphism of vector spaces

$$\text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B) \cong \text{Hom}_{Sp(V_N)}(1, (\bar{\omega}_A \otimes \bar{\omega}_A^\vee) \otimes (\bar{\omega}_B \otimes \bar{\omega}_B^\vee)).$$

Again, we apply the classical fact that

$$\bar{\omega}_A \otimes \bar{\omega}_A^\vee \cong \omega_B \otimes \bar{\omega}_B^\vee \cong \mathbb{C}V_N,$$

to obtain an isomorphism

$$(32) \quad \text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B) \cong \text{Hom}_{Sp(V_N)}(1, \mathbb{C}(V_N \oplus V_N)).$$

The space $\text{Hom}_{Sp(V_N)}(1, \mathbb{C}(V_N \oplus V_N))$ can be considered as a subspace of $\mathbb{C}(V_N \oplus V_N)$ generated by fixed points of $\mathbb{C}(V_N \oplus V_N)$ under the (diagonal) action of $Sp(V_N)$, i.e. sums

$$\sum_{(v,w) \in O} (v, w)$$

for $Sp(V_N)$ -orbits $O \subset V_N \oplus V_N$. Equivalently, using the description of the orbits of $V_N \oplus V_N$ in the proof of Proposition 4, we may consider generators

$$\begin{aligned} \iota &:= (0, 0), \\ f_\lambda &:= \sum_{v \in V_N} (v, \lambda \cdot v), \text{ for } \lambda \in \mathbb{P}^1(\mathbb{F}_q), \text{ (taking } f_\infty := \sum_{v \in V_N} (0, v)) \\ g_\nu &:= \sum_{v, w \in V_N, S(v, w) = \nu} (v, w) \text{ for } \nu \in \mathbb{F}_q. \end{aligned}$$

(note that here we consider ‘‘closed strata’’ elements, meaning that we allow v to be 0 in the definition of f_λ and allow v, w to be linearly dependent in the definition of g_ν).

Write $\alpha = B/A$. We claim the following

Lemma 7. *Using the identification (32), the endomorphism algebra $(\text{End}_{\text{Sp}(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B), \circ)$, is isomorphic to a sub-algebra of $\mathbb{C}(V_N \oplus V_N)$, generated by ι , f_λ for $\lambda \in \mathbb{P}^1(\mathbb{F}_q)$, and g_ν for $\nu \in \mathbb{F}_q$, with respect to the algebra operation*

$$\star : \mathbb{C}(V_N \oplus V_N) \otimes \mathbb{C}(V_N \oplus V_N) \rightarrow \mathbb{C}(V_N \oplus V_N)$$

given by

$$(33) \quad (v_1, w_1) \star (v_2, w_2) = \psi_A\left(\frac{S(v_2, v_1) + \alpha S(w_2, w_1)}{2}\right) \cdot (v_1 + v_2, w_1 + w_2),$$

where $\psi_A : \mathbb{F}_q \rightarrow \mathbb{C}^\times$ denotes the non-trivial additive character corresponding to $A \in \mathbb{F}_q^\times$.

Proof. First note that, identifying

$$\text{End}_{\text{Sp}(V_N)}(\bar{\omega}_A) \cong \text{Hom}_{\text{Sp}(V_N)}(1, \bar{\omega}_A \otimes \bar{\omega}_A^\vee) \subseteq \mathbb{C}V_N,$$

the composition operation

$$\circ : (\text{End}_{\text{Sp}(V_N)}(\bar{\omega}_A))^{\otimes 2} \rightarrow \text{End}_{\text{Sp}(V_N)}(\bar{\omega}_A)$$

can be described as the composition

$$\begin{array}{c} (\text{Hom}_{\text{Sp}(V_N)}(1, \bar{\omega}_A \otimes \bar{\omega}_A^\vee))^{\otimes 2} \\ \downarrow \\ \text{Hom}_{\text{Sp}(V_N)}(1, \bar{\omega}_A \otimes \underbrace{\bar{\omega}_A^\vee \otimes \bar{\omega}_A}_{\text{}} \otimes \bar{\omega}_A^\vee) \\ \downarrow \\ \text{Hom}_{\text{Sp}(V_N)}(1, \bar{\omega}_A \otimes \bar{\omega}_A^\vee) \end{array}$$

with the top map being tensor product of morphisms (without re-ordering) and the bottom map being composition with

$$\text{Id}_{\bar{\omega}_A} \otimes \epsilon \otimes \text{Id}_{\bar{\omega}_A^\vee} : \bar{\omega}_A \otimes \underbrace{\bar{\omega}_A^\vee \otimes \bar{\omega}_A}_{\text{}} \otimes \bar{\omega}_A^\vee \rightarrow \bar{\omega}_A \otimes \bar{\omega}_A^\vee$$

where

$$\epsilon : \bar{\omega}_A^\vee \otimes \bar{\omega}_A \rightarrow 1$$

denotes the unit map of the indicated tensor product of $\bar{\omega}_A^\vee$ and $\bar{\omega}_A$.

Now, let us consider Ω_A to be the quotient of the group algebra $\mathbb{C}\mathbb{H}_N(\mathbb{F}_q)$ such that Ω_A -modules are $\mathbb{H}_N(\mathbb{F}_q)$ -representations with central character ψ_A . Then Ω_A has basis (v) for $v \in V_N$ with operation

$$(v) \star_A (w) = \psi_A\left(\frac{S(w, v)}{2}\right) \cdot (v + w).$$

Since ω_A is the only irreducible representation of $\mathbb{H}_N(\mathbb{F}_q)$ with central character ψ_A , its Ω_A -module structure gives an isomorphism of algebras from Ω_A to the vector space endomorphisms of ω_A

$$(34) \quad \Omega_A \cong \text{End}_{\mathbb{C}}(\omega_A).$$

By the unique definition of $Sp(V_N)$ -action on ω_A , (34) is an isomorphism of $Sp(V_N)$ -representations (with $Sp(V_N)$ acting on Ω_A as the permutation representation and acting on $\text{End}_{\mathbb{C}}(\omega_A)$ as $\bar{\omega}_A \otimes \bar{\omega}_A^\vee$). Therefore,

$$\text{End}_{Sp(V_N)}(\bar{\omega}_A) = (\text{End}_{\mathbb{C}}(\omega_A))^{Sp(V_N)},$$

with composition, is a sub-algebra of Ω_A with \star_A .

Now in $\text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B)$, the composition operation

$$\begin{aligned} \circ : (\text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B))^{\otimes 2} &\rightarrow \text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B) \\ f \otimes g &\rightarrow f \circ g \end{aligned}$$

can be described as a composition of product, permutation, and trace:

$$(35) \quad \begin{array}{ccc} (\text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B))^{\otimes 2} & & \\ \pi \downarrow & & \\ \text{End}_{Sp(V_N)}((\bar{\omega}_A \otimes \bar{\omega}_B)^{\otimes 2}) & \xrightarrow{? \circ \varsigma} & \text{End}_{Sp(V_N)}((\bar{\omega}_A \otimes \bar{\omega}_B)^{\otimes 2}) \\ & & \downarrow \tau \\ & & \text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B) \end{array}$$

where π denotes tensor product of morphisms (with no re-ordering of tensor factors), $? \circ \varsigma$ sends an $h \in \text{End}_{Sp(V_N)}((\bar{\omega}_A \otimes \bar{\omega}_B)^{\otimes 2})$ to $h \circ \varsigma$ where

$$\varsigma : (\bar{\omega}_A \otimes \bar{\omega}_B)^{\otimes 2} \rightarrow (\bar{\omega}_A \otimes \bar{\omega}_B)^{\otimes 2}$$

switches the two tensor factors $(\bar{\omega}_A \otimes \bar{\omega}_B)$, and τ maps an endomorphism $h \in \text{End}_{Sp(V_N)}((\bar{\omega}_A \otimes \bar{\omega}_B)^{\otimes 2})$ to its trace, matching the second factor of $(\bar{\omega}_A \otimes \bar{\omega}_B)$ in the source with the second factor of $(\bar{\omega}_A \otimes \bar{\omega}_B)$ in the target.

Again, this corresponds to the algebra operation on

$$(36) \quad \text{Hom}_{Sp(V_N)}(1, (\bar{\omega}_A \otimes \bar{\omega}_A^\vee) \otimes (\bar{\omega}_B \otimes \bar{\omega}_B^\vee)) \subseteq \mathbb{C}(V_N \oplus V_N).$$

Since in the composition (35) the factors $\bar{\omega}_A \otimes \bar{\omega}_B$ are preserved, the algebra (36) is a sub-algebra of $\Omega_A \otimes \Omega_B$ with respect to algebra operation

$\star = \star_A \otimes \star_B$. Now for $(v_1, w_1), (v_2, w_2) \in \Omega_A \otimes \Omega_B$, we have

$$(37) \quad (v_1, w_1) \star (v_2, w_2) = \psi_A\left(\frac{S(v_2, v_1)}{2}\right) \cdot \psi_B\left(\frac{S(w_2, w_1)}{2}\right) \cdot (v_1 + v_2, w_1 + w_2).$$

By definition,

$$\begin{aligned} \psi_A\left(\frac{S(v_2, v_1)}{2}\right) \cdot \psi_B\left(\frac{S(w_2, w_1)}{2}\right) &= \psi_A\left(\frac{S(v_2, v_1)}{2}\right) \cdot \psi_A\left(\frac{\alpha \cdot S(w_2, w_1)}{2}\right) = \\ &= \psi_A\left(\frac{S(v_2, v_1) + \alpha \cdot S(w_2, w_1)}{2}\right). \end{aligned}$$

Applying this to (37), we obtain the operation (33). \square

4.2. Some idempotents. Now, since for every $(v, w) \in V_N \oplus V_N$,

$$(v, w) \star (0, 0) = \psi_A(0) \cdot (v, w) = (v, w),$$

the unit of \star is $\iota = (0, 0)$. For $\lambda \in \mathbb{P}^1(\mathbb{F}_q)$, we have

$$\begin{aligned} f_\lambda \star f_\lambda &= \sum_{v, w \in V_N} (v, \lambda v) \star (w, \lambda w) = \\ &= \sum_{v, w \in V_N} \psi_A\left(\frac{(1 + \alpha\lambda^2) \cdot S(w, v)}{2}\right) \cdot (v + w, \lambda(v + w)). \end{aligned}$$

Reparametrizing this sum using $x = v + w$, we obtain that

$$(38) \quad f_\lambda \star f_\lambda = \sum_{v, x \in V_N} \psi_A\left(\frac{(1 + \alpha\lambda^2) \cdot S(x, v)}{2}\right) \cdot (x, \lambda x).$$

Since, by assumption, $-\alpha \in \mathbb{F}_q^\times$ is not a square, there are no values of $\lambda \in \mathbb{P}^1(\mathbb{F}_q)$ with $1 + \alpha\lambda^2 = 0$. Therefore, since in (38) the coefficient of each $(x, \lambda x)$ is a sum over all $v \in V_N$ of an additive character, applied to a non-zero multiple of $S(x, v)$, the only surviving term with non-zero coefficient is for $x = 0$. Thus,

$$f_\lambda \star f_\lambda = q^{2n} \cdot (0, 0) = q^{2n} \cdot \iota.$$

Therefore, for $\lambda \in \mathbb{P}^1(\mathbb{F}_q)$, we have idempotents

$$e_\lambda^\pm := \frac{\iota \pm f_\lambda/q^n}{2}.$$

To calculate trace, note that the trace map

$$\mathbb{C}V_N \cong \omega_A \otimes \omega_A^\vee \rightarrow 1$$

is defined by sending (0) to q^n , and (v) to 0 for $v \neq 0 \in V_N$. Hence, $tr(\iota) = tr((0,0)) = q^{2n}$, and the only contributing term of f_λ is also $(0,0)$, so $tr(f_\lambda) = q^{2n}$, as well. Therefore,

$$tr(e_\lambda^\pm) = \frac{q^{2n} \pm q^n}{2}.$$

Now, for $\lambda \neq \mu$,

$$(39) \quad f_\lambda \star f_\mu = \sum_{v,w \in V_N} (v, \lambda v) \star (w, \mu w) = \sum_{v,w \in V_N} \psi_A\left(\frac{(1 + \alpha\lambda\mu) \cdot S(w, v)}{2}\right) \cdot (v + w, \lambda v + \mu w).$$

Writing $x = v + w$, $y = \lambda v + \mu w$, we have

$$v = \frac{\mu x - y}{\mu - \lambda}, \quad w = \frac{\lambda x - y}{\lambda - \mu},$$

and therefore

$$S(w, v) = \frac{S(y, x)}{\mu - \lambda}.$$

Reparametrizing (39), we have

$$(40) \quad f_\lambda \star f_\mu = \sum_{x,y \in V_N} \psi_A\left(\frac{(1 + \alpha\lambda\mu)S(w, v)}{2(\mu - \lambda)}\right) \cdot (x, y) = \sum_{\nu \in \mathbb{F}_q} \psi_A\left(\frac{\nu}{2} \cdot \frac{1 + \alpha\lambda\mu}{\mu - \lambda}\right) \cdot g_\nu.$$

(Note that this implies that using \star , the f_λ generate all the g_ν and therefore all of $End_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B)$.)

4.3. μ_{q+1} -character decomposition. For $\lambda, \mu \in \mathbb{P}^1(\mathbb{F}_q)$, write

$$(41) \quad \lambda * \mu = \frac{\mu + \lambda}{1 - \alpha\lambda\mu}.$$

We need $-\alpha$ to not be a quadratic remainder to avoid $\frac{0}{0}$ when $\lambda = -\mu$. Otherwise, when the denominator is 0, we define the answer to be ∞ . Further, we define

$$\begin{aligned} \infty * \infty &= 0, \\ \lambda * \infty &= \frac{-1}{\alpha\lambda} \text{ for } \lambda \neq \infty. \end{aligned}$$

Comment: Except for the factor α , this operation models the addition formula for $\tan(x)$. One may, in fact, define the operation $*$ on $\mathbb{P}^1\mathbb{R}$ where $\tan(x)*\tan(y) = \tan(x+y)$, $x, y \in [-\pi/2, \pi/2]$ with $\pi/2 \sim -\pi/2$.

From the point of view of \mathbb{F}_q , the inclusion $\mathbb{F}_q^\times \subset GL_2(\mathbb{F}_q)$ induces $\mathbb{F}_q^\times/\mathbb{F}_q^\times \subset PGL_2(\mathbb{F}_q)$, which gives a simple transitive action of $\mathbb{Z}/(q+1)$ on the rational points of $\mathbb{P}^1(\mathbb{F}_q)$, which is another way (up to reparametrization) of describing the operation $*$.

Then (40) can be expressed as

$$f_\lambda * f_\mu = \sum_{\nu \in \mathbb{F}_q} \psi_A\left(\frac{\nu}{2 \cdot (\mu * (-\lambda))}\right) \cdot g_\nu.$$

We then make the following

Claim 1. *The operation (41) gives $\mathbb{P}^1(\mathbb{F}_q)$ the structure of an abelian group with unit 0 such that for every $\lambda \in \mathbb{P}^1(\mathbb{F}_q)$,*

$$\lambda * (-\lambda) = 0.$$

In fact,

$$(\mathbb{P}^1(\mathbb{F}_q), *) \cong (\mathbb{Z}/(q+1), +).$$

In particular, this claim implies that for every $x, \lambda, \mu \in \mathbb{P}^1(\mathbb{F}_q)$,

$$f_\lambda * f_\mu = f_{x*\lambda} * f_{x*\mu}.$$

Therefore, since f_λ algebra-generate all of $End_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B)$ with respect to $*$, for every $x \in \mathbb{P}^1(\mathbb{F}_q)$,

$$\begin{aligned} x : End_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B) &\rightarrow End_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B) \\ f_\lambda &\mapsto f_{x*\lambda} \end{aligned}$$

is preserves the algebra structure. Thus $End_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B)$ forms a $\mathbb{Z}/(q+1)$ -algebra, and similarly as before, one can find idempotents $\iota_\theta \in End_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B)$ corresponding to characters

$$\theta : \mathbb{Z}/(q+1) \rightarrow \mathbb{C}^\times,$$

with

$$\begin{aligned} tr(\iota_\theta) &= \frac{q^{2N} - 1}{q + 1} \text{ for } \theta \neq 1, \\ tr(\iota_1) &= \frac{q^{2N} + q}{q + 1} \end{aligned}$$

Writing

$$Y_\theta := Im(\iota_\theta),$$

the identification of $\theta = 1/\theta$ gives an isomorphism $Y_\theta \cong Y_{1/\theta}$ for $\theta \neq 1, \sigma$ and splits Y_σ into two non-isomorphic summands Y_σ^\pm of dimension

$$\frac{q^{2N} + 1}{2(q + 1)}.$$

Finally, using e_λ^\pm , we find that Y_1 splits into two summands Y_1^\pm , of dimensions

$$\frac{1}{2} \left(\frac{q^{2N} + q}{q + 1} \pm q^N \right).$$

We therefore obtain that $\bar{\omega}_A \otimes \bar{\omega}_B$ decomposes as

$$\left(\bigoplus_{\{\theta: \mathbb{Z}/(q+1) \rightarrow \mathbb{C}^\times | \theta \neq 1, \sigma\} / (\theta \sim 1/\theta)} Y_\theta \right) \oplus (Y_\sigma^+ \oplus Y_\sigma^-) \oplus (Y_1^+ \oplus Y_1^-),$$

As before, if these summands are non-isomorphic and simple, we have

$$\begin{aligned} \text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B) = \\ \left(\frac{q-1}{2} \cdot M_2(\mathbb{C}) \right) \oplus (\mathbb{C} \oplus \mathbb{C}) \oplus (\mathbb{C} \oplus \mathbb{C}), \end{aligned}$$

which is consistent with (32) and the count of $Sp(V_N)$ -orbits of $\mathbb{C}(V_N \oplus V_N)$ in the end of the proof of Proposition 4. If any summands were isomorphic or split further, the dimension of $\text{End}_{Sp(V_N)}(\bar{\omega}_A \otimes \bar{\omega}_B)$ would become too large, giving the decomposition claimed in Case 2 of Theorem 2.

Thus, it remains to prove Claim 1:

Proof of Claim 1. It is clear the $*$ is commutative and associative. It is unital with respect to 0, since

$$\lambda * 0 = \frac{\lambda}{1} = \lambda,$$

and for every $\lambda \in \mathbb{P}^1(\mathbb{F}_q)$,

$$\lambda * (-\lambda) = \frac{0}{1 + \alpha\lambda^2} = 0$$

(again, using the fact that, by our assumptions, α is not a square in \mathbb{F}_q^\times , so the denominator is not 0. Therefore, $(\mathbb{P}^1(\mathbb{F}_q), *)$ forms an abelian group.

To prove that $(\mathbb{P}^1(\mathbb{F}_q), *)$ is cyclic, it then suffices to show that for every prime ℓ , there is at most one copy of \mathbb{Z}/ℓ forming a subgroup of

it. For $\ell = 2$, the only λ which are their own inverses (i.e. satisfies $\lambda = -\lambda$, by above) are $\lambda = 0, \infty$. For $\ell > 2$,

$$\underbrace{\infty * \cdots * \infty}_{\ell} = \infty$$

since $\infty = -\infty$ and ℓ must be odd, and for $\lambda \in \mathbb{F}_q$,

$$(42) \quad \underbrace{\lambda * \cdots * \lambda}_{\ell}$$

can be expressed as

$$\lambda \cdot \frac{p_1(\lambda)}{p_2(\lambda)}$$

for polynomials p_1 and p_2 of degree $\ell - 1$. Therefore, there for every prime ℓ , there are at most ℓ elements of degree ℓ . Thus, $(\mathbb{P}^1(\mathbb{F}_q), *)$ is cyclic, and therefore isomorphic to $(\mathbb{Z}/(q+1), +)$. \square

Thus, we have proved both cases of Theorem 2.

5. INTERPOLATION I: THE T-ALGEBRA STRUCTURE

In this section, we move away from classical representation theory, and describe the method of interpolation using the technique of *T-algebras*. The goal of this section is to begin constructing the categories claimed in Theorem 1 for values of $t \in \mathbb{C}$ not natural numbers.

5.1. T-algebras. Recall that a \mathbb{C} -linear additive category with a \mathbb{C} -bilinear associative, commutative, unital tensor product and strong duality which is generated by a basic object X can be axiomatized by its *T-algebra*

$$\mathcal{T}(S, T) = \text{Hom}(X^{\otimes S}, X^{\otimes T})$$

(see [11, 12], following [1], Chapter 10):

A *T-algebra* \mathcal{T} is a universal algebra structure which consists of the data of vector spaces $\mathcal{T}(S, T)$ corresponding (functorially) to pairs of finite sets S, T , along with the data of *partial trace* operations

$$(43) \quad \tau_\phi : \mathcal{T}(S, T) \rightarrow \mathcal{T}(S \setminus S', T \setminus T')$$

corresponding (functorially) to bijections $\phi : S' \rightarrow T'$ for subsets $S' \subseteq S, T' \subseteq T$, the data of *product* operations

$$\pi : \mathcal{T}(S_1, T_1) \otimes \mathcal{T}(S_2, T_2) \rightarrow \mathcal{T}(S_1 \amalg S_2, T_1 \amalg T_2)$$

for finite sets S_1, S_2, T_1, T_2 , and the data of two types of “units” $1 \in \mathcal{T}(\emptyset, \emptyset)$ and $\iota \in \mathcal{T}(\{1\}, \{1\})$ satisfying suitable axioms (see [11, 12] for details).

For a T-algebra \mathcal{T} , we may conversely construct an additive \mathbb{C} -linear category $\mathcal{C}(\mathcal{T})$ with a \mathbb{C} -bilinear associative, commutative, unital tensor product and strong duality which is generated by a basic object X , by first constructing a category $\mathcal{C}(\mathcal{T})_0$ with objects

$$\text{Obj}(\mathcal{C}(\mathcal{T})_0) = \{X^{\otimes S} \otimes (X^\vee)^{\otimes T} \mid S, T \text{ finite sets}\}$$

and morphisms

$$\text{Hom}_{\mathcal{C}(\mathcal{T})_0}(X^{\otimes S_1} \otimes (X^\vee)^{\otimes T_1}, X^{\otimes S_2} \otimes (X^\vee)^{\otimes T_2}) = \mathcal{T}(S_1 \amalg T_2, S_2 \amalg T_1).$$

We construct $\mathcal{C}(\mathcal{T})$ by formally adding direct sums to $\mathcal{C}(\mathcal{T})_0$ and taking a pseudo-abelian envelope (for more details, see [11, 12]).

Recall from F. Knop [9, 10] that there is an interpolation $\text{Rep}(GL_t(\mathbb{F}_q))$ of the category of representations $\text{Rep}(GL_N(\mathbb{F}_q))$, which is generated by a basic object X of dimension q^t (interpolating $\mathbb{C}\mathbb{F}_q^N$), which is semisimple and pre-Tannakian for $t \in \mathbb{C} \setminus \mathbb{N}_0$. One may consider the spaces of morphisms

$$(44) \quad \text{Hom}_{\text{Rep}(GL_t(\mathbb{F}_q))}(X^{\otimes S}, X^{\otimes T}),$$

for finite sets S, T . As a vector space, note that (44) is isomorphic to

$$(45) \quad \text{Hom}_{\text{Rep}(GL_N(\mathbb{F}_q))}((\mathbb{C}\mathbb{F}_q^N)^{\otimes S}, (\mathbb{C}\mathbb{F}_q^N)^{\otimes T}),$$

for $N \gg 0$. Let us denote by

$$(46) \quad \mathcal{V}(S, T)$$

the subspace of (45) of morphisms that preserve the action of $Sp(V_N) \rtimes \mathbb{H}_N(\mathbb{F}_q)$ (taking V_N to be a symplectic space of dimension $2N$), for $N \gg 0$.

We claim that $\mathcal{V}(S, T)$, considered as a subspace of (44), forms a sub-T-algebra of the T-algebra corresponding to $\text{Rep}(GL_t(\mathbb{F}_q))$ generated by X .

The T-algebra $\mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}$ corresponding to $\text{Rep}(GL_t(\mathbb{F}_q))$ generated by X is defined by taking spaces (44), describing partial trace by using the strong duality of X and composition with evaluation and coevaluation morphisms, and taking product to be the tensor product of morphisms (the two “units” then being $\iota = Id_X$ and $1 = Id_1$).

To describe this approach in more detail, we can identify the vector space $\mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}(S, T)$, for finite sets S, T , with the free \mathbb{C} -vector space generated by equivalence classes of quotients

$$(47) \quad f : \mathbb{F}_q^{S \amalg T} = \mathbb{F}_q\{e_i \mid i \in S \amalg T\} \twoheadrightarrow V$$

with the equivalence relation that f is equivalent to any composition of f with an automorphism of the target.

The product π of two quotient maps is a sum of all possible ‘‘amalgamations’’ of the target vector spaces. For more detail, see [12].

Partial trace can be defined by, for subsets $S' \subseteq S$, $T' \subseteq T$, and a bijection $\phi : S' \rightarrow T'$, describing $\tau_\phi(f)$ for f as in (47), and extending linearly.

If there exists an $i \in S'$ such that $f(e_i) \neq f(e_{\phi(i)})$, then take $\tau_\phi(f) = 0$.

If for every $i \in S'$ we have $f(e_i) = f(e_{\phi(i)})$, then we take $\tau_\phi(f)$ to be a multiple of the restriction of $f|_{\mathbb{F}_q^{(S \amalg T) \setminus (S' \amalg T')}}$, where the coefficient is determined by the difference of dimensions

$$\ell = \dim(V) - \dim(\text{Im}(f|_{\mathbb{F}_q^{(S \amalg T) \setminus (S' \amalg T')}}))),$$

by being 1 if $\ell = 0$, and

$$(q^t - q^{\dim(V)-1}) \cdots (q^t - q^{\dim(V)-\ell})$$

if $\ell \neq 0$. This formula for general t is obtained by polynomially (in q^t) interpolating the respective formulas for $N \gg 0$.

5.2. The T-algebra for $\mathcal{C}_{q,t}$. For the purposes of Theorem 1, we may restrict attention to the Hom-spaces $\text{Hom}_{\mathcal{C}}(X^{\otimes S}, X^{\otimes T})$ where $|S| = |T|$ (the *graded* context – we can set the other $\text{Hom}_{\mathcal{C}}(X^{\otimes S}, X^{\otimes T})$ to 0.) We shall assume this convention throughout the rest of this paper.

Now recall the space $\mathcal{V}(S, T)$ of (46).

Lemma 8. *For $t \in \mathbb{C} \setminus \mathbb{N}_0$, the restriction of the partial trace maps*

$$\tau_\phi : \mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}(S, T) \rightarrow \mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}(S \setminus S', T \setminus T')$$

for finite sets $S' \subseteq S$, $T' \subseteq T$, and bijections $\phi : S' \rightarrow T'$ ($|S| = |T|$, $|S'| = |T'|$), and the product maps

$$\begin{aligned} \pi : \mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}(S_1, T_1) \otimes \mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}(S_2, T_2) &\rightarrow \\ &\rightarrow \mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}(S_1 \amalg S_2, T_1 \amalg T_2) \end{aligned}$$

for finite sets S_1, S_2, T_1, T_2 , to $\mathcal{V}(S, T)$ and $\mathcal{V}(S_1, T_1) \otimes \mathcal{V}(S_2, T_2)$, respectively, have images contained in $\mathcal{V}(S \setminus S', T \setminus T')$ and $\mathcal{V}(S_1 \amalg S_2, T_1 \amalg T_2)$, respectively.

Proof. The statement holds for $N \gg 0$ (note that tensoring with (7) can be neglected for our purposes since we are only considering the graded part of the T-algebra for $\text{Rep}(GL_t(\mathbb{F}_q))$). Therefore, it holds for a general t since the constants involved are polynomial in q^t for $t = N$. \square

Write \mathcal{V}_t for the T-algebra formed by the vector spaces $\mathcal{V}(S, T)$ and the partial trace and product maps of $\mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}$.

We may therefore consider the category $\mathcal{C}(\mathcal{V})$, which can be considered as generated by an interpolation ω of the Weil-Shale representation. We write

$$\overline{\mathcal{C}}_{q,t} := \mathcal{C}(\mathcal{V}_t).$$

Since \mathcal{V}_t forms a sub-T-algebra of $\mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}$, the category $\overline{\mathcal{C}}_{q,t}$ forms a subcategory of $\text{Rep}(GL_t(\mathbb{F}_q))$.

6. INTERPOLATION II: SEMISIMPLICITY

In this section, we complete the construction of the categories in Theorem 1 and complete the proof of Theorem 1. We also prove the decomposition of $X^{\otimes 3}$ claimed in Theorem 3 using Theorem 2. We begin with some general observations.

6.1. Some remarks on semisimplification. In an algebra \mathcal{A} of the form

$$(48) \quad \mathcal{A} = \prod_{k=1}^n M_k(\mathbb{C}),$$

the general trace is of the form

$$\tilde{tr}(A) = \sum_{k=1}^n b_k \cdot tr(A_k),$$

for $A = (A_1, \dots, A_n) \in \mathcal{A}$ with $A_k \in M_k(\mathbb{C})$, for some $b_k \neq 0$.

Lemma 9. *For $A \in \mathcal{A}$, if $\widetilde{\text{tr}}(A) \neq 0$, then for every $N \in \mathbb{N}$, there exists an $M > N$ such that $\widetilde{\text{tr}}(A^M) \neq 0$.*

Proof. Write $A = (A_1, \dots, A_n) \in \mathcal{A}$, for $A_k \in M_k(\mathbb{C})$. Without loss of generality, the matrices A_k are in Jordan form. Thus, our statement reduces to the following:

Claim: Let $\lambda_1, \dots, \lambda_m \in \mathbb{C}$ be different numbers. If, for some numbers $\alpha_1, \dots, \alpha_m \in \mathbb{C}$

$$\alpha_1 \lambda_1 + \dots + \alpha_m \lambda_m \neq 0,$$

then for all N , there exists an $M > N$ such that

$$\alpha_1 \lambda_1^M + \dots + \alpha_m \lambda_m^M \neq 0.$$

Without loss of generality $\lambda_1, \dots, \lambda_m \neq 0$. Then the matrix

$$\Lambda = \begin{pmatrix} \lambda_1^N & \lambda_1^{N-1} & \dots & \lambda_1^{N+m-1} \\ \vdots & \vdots & & \vdots \\ \lambda_m^N & \lambda_m^{N-1} & \dots & \lambda_m^{N+m-1} \end{pmatrix}$$

is non-singular by the Vandermonde determinant.

Thus, there exists a vector $v = (v_1, \dots, v_m)^T$ such that $(\lambda_1, \dots, \lambda_m)^T = \Lambda \cdot v$. Thus, if

$$(\alpha_1, \dots, \alpha_m) \cdot \Lambda = 0,$$

then

$$\begin{aligned} \alpha_1 \lambda_1 + \dots + \alpha_m \lambda_m &= (\alpha_1, \dots, \alpha_m) \Lambda v = \\ &= (\alpha_1, \dots, \alpha_m) 0 = 0. \end{aligned}$$

Contradiction. □

Recall that, for a locally finite, \mathbb{C} -linear additive category with a \mathbb{C} -bilinear associative, commutative, unital tensor product and strong duality, one can form the *semisimplification* (see [1], Section 6.1) by quotienting out *negligible* morphisms (i.e. morphisms $f : X \rightarrow Y$ such that for every morphism $g : Y \rightarrow X$, the trace $\text{tr}(g \circ f)$ is 0).

Lemma 9 then gives the following

Proposition 10. *The (pseudo-abelian envelope of the) semisimplification of a locally finite, \mathbb{C} -linear additive category \mathcal{C} with a \mathbb{C} -bilinear associative, commutative, unital tensor product and strong duality which*

is generated by an object X , is semisimple if and only if for every $a \in \text{End}(X^{\otimes n})$,

$$(49) \quad \text{tr}(a) \neq 0 \Rightarrow \forall N \in \mathbb{N} \exists M > N \text{tr}(a^M) \neq 0.$$

Proof. Necessity follows from Lemma 9 (since this is a general form of a trace in a semisimple algebra).

To prove sufficiency, given the assumption, if $a \in \text{End}(X^{\otimes n})$ is non-negligible, say, $\text{tr}(ab) \neq 0$ for a $b \in \text{End}(X^{\otimes n})$, then for every $N \in \mathbb{N}$ there exists an $M > N$ such that

$$\text{tr}((ab)^M) \neq 0,$$

and hence $a \notin \text{Jac}(\text{End}(X^{\otimes n}))$. Thus, the semisimplification of \mathcal{C} is semisimple. \square

This implies the following

Proposition 11. *If the category $\mathcal{C}(\mathcal{T})$ for a T -algebra \mathcal{T} is semisimple (pre-Tannakian), then for every sub- T -algebra $\mathcal{V} \subseteq \mathcal{T}$, the (pseudo-abelian envelope of the) semisimplification of $\mathcal{C}(\mathcal{V})$ is semisimple pre-Tannakian.*

Proof. The condition (49) remains true in \mathcal{V} . \square

6.2. Proofs of Theorem 1 and Theorem 3. Applying this to our sub- T -algebra \mathcal{V}_t (corresponding to the Weil-Shale representation) of the T -algebra $\mathcal{T}_{\text{Rep}(GL_t(\mathbb{F}_q))}$ corresponding to $\text{Rep}(GL_t(\mathbb{F}_q))$, we obtain that the semisimplification

$$\mathcal{C}_{q,t}$$

of $\overline{\mathcal{C}}_{q,t}$ is a semisimple category, giving the existence of a semisimple pre-Tannakian category as claimed in Theorem 1.

What remains to show is that (1) holds for values of q^t not equal to $\pm 1, \pm q$:

Lemma 12. *For all t with $q^t \neq \pm 1, \pm q$,*

$$(50) \quad \dim(\text{End}_{\mathcal{C}_{q,t}}(\omega^{\otimes 3})) = 2q + 2.$$

Proof. Since we have proved that the semisimplification $\mathcal{C}_{q,t}$ of $\overline{\mathcal{C}}_{q,t}$ is semisimple, it suffices to prove that

$$(51) \quad \det(\operatorname{tr}(a_i \circ a_j))$$

is non-zero, where a_1, \dots, a_{2q+2} is a basis of $\operatorname{End}_{\overline{\mathcal{C}}_{q,t}}(\omega^{\otimes 3})$.

The generators a_1, \dots, a_{2q+2} can be identified with the orbits of types (A), (B), (C) at the end of the proof of Proposition 4. The number

$$(52) \quad \operatorname{tr}(a_i \circ a_j)$$

can be non-zero when the orbit a_i contains a vector

$$(u, v) = \left(\begin{array}{c|c} u_1 & v_1 \\ \hline u_2 & v_2 \end{array} \right)$$

(where $u_1, u_2, v_1, v_2 \in \mathbb{F}_q^N$) and the orbit a_j contains the vector

$$\left(\begin{array}{c|c} u_2 & v_2 \\ \hline u_1 & v_1 \end{array} \right).$$

In that case, the number (52) is equal to the number of vectors in the orbit a_i (equivalently a_j). We shall refer to the orbits a_i, a_j as *contragradient*. We find that each orbit of type (A), (B) is contragradient to itself, while the orbit of type (C) corresponding to λ is contragradient to the orbit of type (C) corresponding to $-\lambda$.

The number of elements of the orbit of type (A) is 1. The number of elements of an orbit of type (B) is equal to

$$q^{2n} - 1.$$

The number of elements of the orbit of type (C) corresponding to a $\lambda \neq 0$ is equal to

$$(q^{2n} - 1)q^{2n-1}.$$

The number of elements of the orbit of type (C) corresponding to $\lambda = 0$ is

$$(q^{2n} - 1)(q^{2n-1} - q).$$

Thus, the number (51) is a polynomial in q^{2n} with factors q^{2n} , $q^{2n} - 1$, $q^{2n} - q^2$. Therefore, its zeros in $x = q^n$ are $x = 0, \pm 1, \pm q$. The statement follows. \square

We have therefore completed the proof of Theorem 1

Finally, we prove Theorem 3:

Proof of Theorem 3. We begin by proving Theorem 3 for $t = N$. Fix an $a \in \mathbb{F}_q^\times$, and consider the representation ω_a of $Sp(V_N) \rtimes \mathbb{H}_N(\mathbb{F}_q)$.

In particular, we obtain that

$$\omega_a \otimes \omega_a = \bar{\omega}_{2a^3} \otimes \omega_{2a}.$$

Therefore, by (17), we have

$$\omega_a \otimes \omega_a = \bar{\omega}_{2a} \otimes \omega_{2a}.$$

Applying (15) again, we obtain that

$$(53) \quad \omega_a^{\otimes 3} = \bar{\omega}_{2a} \otimes (\omega_{2a} \otimes \omega_a) = \bar{\omega}_{2a} \otimes \bar{\omega}_{6a^3} \otimes \omega_{3a} = (\bar{\omega}_{2a} \otimes \bar{\omega}_{6a}) \otimes \omega_{3a}.$$

Therefore, we need to calculate

$$\bar{\omega}_{2a} \otimes \bar{\omega}_{6a}.$$

To apply Theorem 2, we must consider whether or not

$$2a \equiv -6a \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2,$$

or, equivalently, whether or not -3 is a square in \mathbb{F}_q^\times , which is determined by $q \pmod 3$.

Case 1: $q \equiv 1 \pmod 3$.

Then since, by assumption, -3 is a square in \mathbb{F}_q , we in fact have

$$\bar{\omega}_{6a} \cong \bar{\omega}_{2a}^\vee,$$

and therefore we get that a decomposition of $\omega_a^{\otimes 3}$ as ω_{3a} tensored with (2) (where we consider $Sp(V_N)$ representations as representations of $Sp(V_N) \rtimes \mathbb{H}_N(\mathbb{F}_q)$ by letting $\mathbb{H}_N(\mathbb{F}_q)$ act trivially).

Therefore, by taking

$$X_\chi = Z_\chi \otimes \omega_{3a} \text{ for } \chi \in \{\chi : \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times \mid \chi \neq 1, \epsilon\} / (\chi \sim 1/\chi)$$

$$X_\epsilon^\pm = Z_\epsilon^\pm \otimes \omega_{3a}$$

$$X_1^0 = 1 \otimes \omega_{3a} = \omega_{3a}$$

$$\tilde{X}_1^\pm = \tilde{Z}_1^\pm \otimes \omega_{3a},$$

we obtain the decomposition and dimensions stated in Case 1 of Theorem 3 for $t = N$, $X = \omega$.

If all the summands of (5) are non-isomorphic and are simple, we then can calculate that, as a \mathbb{C} -vector space,

$$\text{End}_{\text{Rep}(Sp(V_N) \rtimes \mathbb{H}_N(\mathbb{F}_q))}(\omega^{\otimes 3}) = \left(\frac{q-3}{2} \cdot M_2(\mathbb{C}) \right) \oplus (\mathbb{C} \oplus \mathbb{C}) \oplus (M_2(\mathbb{C}) \oplus \mathbb{C} \oplus \mathbb{C})$$

(where $M_2(\mathbb{C})$ denotes the 2 by 2 matrix algebra on \mathbb{C}), and therefore

$$\dim(\text{End}_{\text{Rep}(Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q))}(\omega^{\otimes 3})) = 2q + 2,$$

agreeing with Proposition 9. If there were further decompositions or additional isomorphisms, we would obtain more terms or larger matrix algebras, contradicting Proposition 4. Hence, we may conclude that all X_χ for $\chi \in \{\chi : \mathbb{F}_q^\times \rightarrow \mathbb{C}^\times \mid \chi \neq 1, \epsilon\}/(\chi \sim 1/\chi)$, X_ϵ^\pm , X_1^0 , and \widetilde{X}_1^\pm are all simple and non-isomorphic, completing the proof of Case 1 of Theorem 3 at $t = N$.

Case 2: $q \equiv -1 \pmod{3}$.

Then -3 is not a square in \mathbb{F}_q and therefore we get a decomposition of $\omega_a^{\otimes 3}$ as ω_{3a} tensored with (3) (where again we consider $Sp(V_N)$ representations as representations of $Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q)$ by letting $\mathbb{H}_N(\mathbb{F}_q)$ act trivially).

Therefore, by taking

$$\begin{aligned} X_\theta &= Y_\theta \otimes \omega_{3a} \text{ for } \theta \in \{\theta : \mu_{q+1}^\times \rightarrow \mathbb{C}^\times \mid \theta \neq 1, \sigma\}/(\theta \sim 1/\theta) \\ X_\sigma^\pm &= Y_\sigma^\pm \otimes \omega_{3a} \\ X_1^\pm &= Y_1^\pm \otimes \omega_{3a} \end{aligned}$$

we obtain the decomposition and dimensions stated in Case 2 of Theorem 3 for $t = N$, $X = \omega$.

If all the summands of (6) are non-isomorphic and simple, then, as a \mathbb{C} -vector space,

$$\text{End}_{\text{Rep}(Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q))}(\omega^{\otimes 3}) = \left(\frac{q-1}{2} \cdot M_2(\mathbb{C}) \right) \oplus (\mathbb{C} \oplus \mathbb{C}) \oplus (\mathbb{C} \oplus \mathbb{C})$$

(where, again, $M_2(\mathbb{C})$ denotes the 2 by 2 matrix algebra on \mathbb{C}). Therefore we again recover that

$$\dim(\text{End}_{\text{Rep}(Sp(V_N) \times \mathbb{H}_N(\mathbb{F}_q))}(\omega^{\otimes 3})) = 2q + 2,$$

agreeing with Proposition 9. As in the previous case, if there were further decompositions or additional isomorphisms, we would obtain more terms or larger matrix algebras, contradicting Proposition 4. Hence, we may conclude that all X_θ for $\theta \in \{\theta : \mu_{q+1}^\times \rightarrow \mathbb{C}^\times \mid \theta \neq 1, \sigma\}/(\theta \sim 1/\theta)$, X_σ^\pm , and X_1^\pm are all simple and non-isomorphic, completing the proof of Case 2 of Theorem 3 at $t = N$.

Therefore we have proved Theorem 3 at $t = N$. Note the by definition, Theorem 3 also holds in

$$\overline{\mathcal{E}}_{q,t}$$

at all values of $t \in \mathbb{C}$. In particular, we recover the bad values $q^t = \pm 1$ and $\pm q$ for Lemma 12 and Theorem 1 since the dimensions of X_χ , X_θ , and \tilde{X}_1^\pm , X_q^\pm are 0 precisely in these cases. Away from these bad values, since the dimensions of all simple summands of $X^{\otimes 3}$ we can conclude that the decomposition of Theorem 3 holds in the semisimplification

$$\mathcal{C}_{q,t},$$

as well. □

7. THE GRADED MODEL I: PRELIMINARY OBSERVATIONS

The purpose of the next two sections is to define and study a G -graded semisimple pre-Tannakian category $\mathcal{D}_{q,t}$ where $G = \mathbb{Z}/2 \times \mathbb{Z}/2$ when $q \equiv 1 \pmod{4}$ and $G = \mathbb{Z}/4$ when $q \equiv 3 \pmod{4}$, and $q^t \neq \pm q^n$, $n \in \mathbb{N}_0$. This model was suggested by P. Deligne [2]. It arises from interpolating “initial segments of the category $Rep(Sp(V_N))$ ” generated by CV_N and the oscillator representations. We shall describe this model using our method of T-algebra. We will also show in Section 8 below that $\mathcal{D}_{q,t}$ does not come from a measure on an oligomorphic group. This was an idea of A. Snowden.

To define this graded model, we begin with some preliminary facts, including the construction of the T-algebra $\mathcal{T}_{Sp,t}$ (abbreviated to \mathcal{T}_{Sp} when t is fixed) defining $Rep(Sp_t(\mathbb{F}_q))$ from taking the basic object to be the permutation representation. In the next section, by examining the endomorphism algebras, we obtain a new T-algebra $\mathcal{T}_{Osc,t}$ (abbreviated to \mathcal{T}_{Osc} where t is fixed) with basic object interpolating the sum of two non-dual oscillator representations. Using a result that “grades” the initial segments of $Rep(Sp_N(\mathbb{F}_q))$, we will see that this T-algebra implements this extra grading, and prove that it in fact defines a semisimple pre-Tannakian category. Finally, we note that this category can not be constructed using the formalism of oligomorphic groups (see [4]).

7.1. Reflections of Lemma 7. To motivate how the T-algebra \mathcal{T}_{Osc} can be constructed from the T-algebra \mathcal{T}_{Sp} , we recall the key observation in the proof of Theorem 2, namely Lemma 7 of Section 4, which has a more general significance.

Recall that the key point of the proof of Lemma 7 is that for any $A \in \mathbb{F}_q^\times$, the endomorphism algebra $End_{Sp(V_N)}(\bar{\omega}_A)$ with respect to composition, is isomorphic to the subalgebra of $\mathbb{C}V_N$ generated by elements fixed under $Sp(V_N)$ action, i.e.

$$(0), \sum_{v \in V_N} (v),$$

with respect to the operation

$$\star : \mathbb{C}V_N \otimes \mathbb{C}V_N \rightarrow \mathbb{C}V_N$$

given by

$$(v) \star (w) = \psi_A\left(\frac{S(w, v)}{2}\right) \cdot (v + w),$$

(where, again, $\psi_A : \mathbb{F}_q \rightarrow \mathbb{C}^\times$ denotes the character corresponding to A by Pontryagin duality).

In fact, note that, since for any choice of $A_1, \dots, A_n \in \mathbb{F}_q^\times$, the endomorphism algebra

$$(54) \quad (End_{Sp(V_N)}(\bar{\omega}_{A_1} \otimes \dots \otimes \bar{\omega}_{A_n}), \circ)$$

is isomorphic to the tensor product of the $End_{Sp(V_N)}(\bar{\omega}_{A_i})$ with composition each, it is easy to generalize the statement of Lemma 7: We have that (54) is isomorphic to the subalgebra of

$$(\mathbb{C}(\bigoplus_n V_N), \star),$$

generated by $Sp(V_N)$ -fixed elements, where

$$\star : \mathbb{C}(\bigoplus_n V_N) \otimes \mathbb{C}(\bigoplus_n V_N) \rightarrow \mathbb{C}(\bigoplus_n V_N)$$

is defined by

$$(v_1, \dots, v_n) \star (w_1, \dots, w_n) = \psi_{A_1}\left(\frac{S(w_1, v_1)}{2}\right) \dots \psi_{A_n}\left(\frac{S(w_n, v_n)}{2}\right) \cdot (v_1 + w_1, \dots, v_n + w_n).$$

7.2. The T-algebra \mathcal{T}_{Sp} . In this subsection, we shall describe the T-algebra \mathcal{T}_{Sp} defining $Rep(Sp_t(\mathbb{F}_q))$ from the basic object $\mathbb{C}V_t$. In fact, this is a sub-T-algebra of the T-algebra \mathcal{T}_{GL} defining $Rep(GL_{2t}(\mathbb{F}_q))$, treated in [12]. This observation also can be used to construct the category $Rep(Sp_t(\mathbb{F}_q))$ using a measure on the oligomorphic group $Sp_\infty(\mathbb{F}_q)$ by restricting the measure on $GL_\infty(\mathbb{F}_q)$ constructed in [4].

The T-algebra $\mathcal{T}_{Sp,t}$ of $Rep(Sp_t(\mathbb{F}_q))$ comes from taking basic object equal to an interpolation of the permutation representation

$$X = \mathbb{C}V_N.$$

We omit t from the notation of the T-algebra, writing $\mathcal{T}_{Sp,t} = \mathcal{T}_{Sp}$, to simplify notation. We define the vector spaces of morphisms of \mathcal{T}_{Sp} as follows:

Definition 13. For finite sets S, T , as a vector space, we define

$$\begin{aligned} \mathcal{T}_{Sp}(S, T) &= Hom_{Sp(V_N)}((\mathbb{C}V_N)^{\otimes S}, (\mathbb{C}V_N)^{\otimes T}) = \\ &Hom_{Sp(V_N)}(1, (\mathbb{C}V_N)^{\otimes S \amalg T}) = Hom_{Sp(V_N)}(1, \mathbb{C}(\bigoplus_{S \amalg T} V_N)), \end{aligned}$$

for some $N \gg |S|, |T|$, which is the vector space of $Sp(V_N)$ -fixed elements of $\mathbb{C}(\bigoplus_{S \amalg T} V_N)$.

We have already discussed these spaces in detail for $|S \amalg T| = 1, 2$ in the previous Sections. For general S, T , an alternate description of this space, is the free \mathbb{C} -vector space generated by surjections

$$(55) \quad \mathbb{F}_q^{S \amalg T} \rightarrow W$$

where W is a vector space with a possibly degenerate anti-symmetric form S_W (over compositions of (55) with a form-preserving automorphism of W). We use this description primarily in our definition of \mathcal{T}_{Sp} to emphasize the non-dependence on N .

To give \mathcal{T}_{Sp} the structure of a T-algebra, recall that we must also describe its product and trace operations, and the action of $\Sigma_S \times \Sigma_T$ on each $\mathcal{T}(S, T)$. Product and trace are described in a similar manner as in the T-algebra description of $Rep(GL_t(\mathbb{F}_q))$ given above, with the added data of the (possibly degenerate) forms on the quotient spaces.

For example, for two generators of $\mathcal{T}(S_1, T_1), \mathcal{T}(S_2, T_2)$ of the form

$$f_1 : \mathbb{F}_q^{S_1 \amalg T_1} \rightarrow W_1, \quad f_2 : \mathbb{F}_q^{S_2 \amalg T_2} \rightarrow W_2,$$

for spaces W_1, W_2 with forms S_{W_1}, S_{W_2} , we define their product

$$f_1 \pi f_2 \in \mathcal{T}(S_1 \amalg S_2, T_1 \amalg T_2)$$

to be the sum of compositions

$$\mathbb{F}_q^{S_1 \amalg S_2 \amalg T_1 \amalg T_2} \xrightarrow{f_1 \oplus f_2} W_1 \oplus W_2 \xrightarrow{g} W$$

of $f_1 \oplus f_2$ with “gluing” maps of the form

$$g : W_1 \oplus W_2 \rightarrow W$$

for a space W with (possibly degenerate) form g from W , where g is surjective and sends the form $S_{W_1} \oplus S_{W_2}$ to S_W , and its restrictions to $W_1 \oplus 0$, $0 \oplus W_2$ are injective.

Also similarly to as in $Rep(GL_t(\mathbb{F}_q))$, we define the partial trace of a generator

$$f : \mathbb{F}_q^{S \amalg T} \rightarrow W$$

along matching an element $s \in S$ and $t \in T$ to be 0 unless the images of the corresponding coordinates match

$$f(\mathbb{F}_q^{\{s\}}) = f(\mathbb{F}_q^{\{t\}}),$$

in which case the partial trace is the restriction of f

$$f|_{\mathbb{F}_q^{S \amalg T \setminus \{s,t\}}} \in \mathcal{T}_{Sp}(S \setminus \{s\}, T \setminus \{t\})$$

multiplied by a coefficient equal to 1 if we have not decreased the dimension of the map's image, i.e.

$$\dim(\text{Im}(f|_{\mathbb{F}_q^{S \amalg T \setminus \{s,t\}}})) = \dim(W),$$

and equal to

$$q^t - q^{\dim(W)-1}$$

if we have decreased the dimension and

$$\dim(\text{Im}(f|_{\mathbb{F}_q^{S \amalg T \setminus \{s,t\}}})) = \dim(W) - 1.$$

8. THE GRADED MODEL II: THE DEFINITION AND BASIC PROPERTIES

We do not need any additional information to give \mathcal{T}_{Sp} the structure of a T-algebra. In this description, the action of Σ_S , Σ_T is by permutation of source coordinates of a generator

$$f : \mathbb{F}_q^{S \amalg T} \rightarrow W$$

of $\mathcal{T}_{Sp}(S, T)$.

However, for every N , we can express the permutation representation as

$$\mathbb{C}V_N = \bar{\omega}_a \otimes \bar{\omega}_a^\vee$$

for any choice of $a \in \mathbb{F}_q^\times$. The description of the algebra structure \star given in the remark at the beginning of this section allows us to see this structure, since we may consider $\bar{\omega}_a \otimes \bar{\omega}_a^\vee \cong \text{End}_{\mathbb{C}}(\bar{\omega}_A)$, and

$$(\text{End}_{\mathbb{C}}(\bar{\omega}_A), \circ) \cong (\mathbb{C}V_N, \star).$$

This suggests that we may define another T-algebra $\mathcal{T}_{Osc,t}$ of $Rep(Sp_t(\mathbb{F}_q))$ where we consider basic object to be the interpolation of the sum of the oscillator representations

$$X = \bar{\omega}_a \oplus \bar{\omega}_b$$

for $a, b \in \mathbb{F}_q^\times$. Again, fixing t , we omit it from the notation and write $\mathcal{T}_{Osc} = \mathcal{T}_{Osc,t}$.

8.1. The definition of the T-algebra for $\mathcal{D}_{q,t}$. We define the vector spaces of morphisms of this T-algebra as follows:

Definition 14. For finite sets S, T we define

$$\mathcal{T}_{Osc}(S, T) = Hom_{Sp(V_N)}((\bar{\omega}_a \oplus \bar{\omega}_b)^{\otimes S}, (\bar{\omega}_a \oplus \bar{\omega}_b)^{\otimes T})$$

for $N \gg |S|, |T|$ (the space being again stable as N grows).

By duality, we have

$$\mathcal{T}_{Osc}(S, T) = Hom_{Sp(V_N)}(1, (\bar{\omega}_a \oplus \bar{\omega}_b)^{\otimes T} \otimes (\bar{\omega}_{-a} \oplus \bar{\omega}_{-b})^{\otimes S}).$$

There are clear candidates for the action of product and trace, arising from tensor product and trace on $Rep(Sp(V_N))$ (describable explicitly, again, using combinatorial descriptions as given above for \mathcal{T}_{Sp}). For example, to describe the trace

$$\tau : \mathcal{T}_{Osc}(\{1\}, \{1\}) \rightarrow \mathbb{C}$$

write

$$\begin{aligned} \mathcal{T}_{Osc}(\{1\}, \{1\}) &= End_{Sp(V_N)}(\bar{\omega}_a \oplus \bar{\omega}_b) = \\ &Hom_{Sp(V_N)}(1, (\bar{\omega}_a \oplus \bar{\omega}_b) \otimes (\bar{\omega}_a \oplus \bar{\omega}_b)^\vee). \end{aligned}$$

We have that

$$(\bar{\omega}_a \oplus \bar{\omega}_b) \otimes (\bar{\omega}_a \oplus \bar{\omega}_b)^\vee = 2 \cdot \mathbb{C}V_N \oplus (\bar{\omega}_a \otimes \bar{\omega}_{-b}) \oplus (\bar{\omega}_b \otimes \bar{\omega}_{-a}),$$

and the last two summands have no copies of 1 since by assumption $\bar{\omega}_a$ and $\bar{\omega}_b$ are not dual (and have no dual summands). Therefore, we in fact have

$$(56) \quad \mathcal{T}_{Osc}(\{1\}, \{1\}) = Hom_{Sp(V_N)}(1, 2 \cdot \mathbb{C}V_N) \subseteq \mathbb{C}V_N \oplus \mathbb{C}V_N$$

interpreting the copies of V_N as $\bar{\omega}_a \otimes \bar{\omega}_a^\vee$ and $\bar{\omega}_b \otimes \bar{\omega}_b^\vee$. We define τ by taking it to be defined as

$$\tau(0) = q^t, \text{ and } \tau(v) = 0 \text{ for } v \neq 0 \in V_N$$

on each summand $\mathbb{C}V_N$ in (56).

While the action of $\Sigma_S \times \Sigma_T$ still can be understood simply through the symmetric action on the tensor product in $\text{Rep}(Sp_t(V_N))$, its consistency (and independence of N) can be clarified using the \star operation.

Specifically, by taking product with the unit and composing (according to \star) it is enough to define the switch

$$\sigma : \mathcal{T}_{Osc}(\{1, 2\}, \{1, 2\}) \rightarrow \mathcal{T}_{Osc}(\{1, 2\}, \{1, 2\}).$$

Rewrite (for $N > 2$)

$$(57) \quad \begin{aligned} \mathcal{T}_{Osc}(\{1, 2\}, \{1, 2\}) &= \text{End}_{Sp(V_N)}((\bar{\omega}_a \oplus \bar{\omega}_b)^{\otimes 2}) = \\ &= \text{End}_{Sp(V_N)}((\bar{\omega}_a)^{\otimes 2} \oplus (\bar{\omega}_b)^{\otimes 2} \oplus (\bar{\omega}_b \otimes \bar{\omega}_a) \oplus (\bar{\omega}_a \otimes \bar{\omega}_b)). \end{aligned}$$

Since the switch operation is $Sp(V_N)$ -equivariant, it is composition $\circ s$ with an element

$$s \in \text{End}_{Sp(V_N)}((\bar{\omega}_a)^{\otimes 2} \oplus (\bar{\omega}_b)^{\otimes 2} \oplus (\bar{\omega}_b \otimes \bar{\omega}_a) \oplus (\bar{\omega}_a \otimes \bar{\omega}_b)).$$

This element is the sum of components in

$$(58) \quad \text{End}_{Sp(V_N)}(\bar{\omega}_a^{\otimes 2}), \text{End}_{Sp(V_N)}(\bar{\omega}_b^{\otimes 2}),$$

and

$$(59) \quad \text{Hom}_{Sp(V_N)}((\bar{\omega}_b \otimes \bar{\omega}_a) \oplus (\bar{\omega}_a \otimes \bar{\omega}_b))$$

all of which are isomorphic to the $Sp(V_N)$ -fixed points of $\mathbb{C}(V_N \oplus V_N)$.

We define the component of s in $\text{End}_{Sp(V_N)}(\bar{\omega}_a^{\otimes 2})$. The other components are similar. Explicitly, Lemma 5 identifies $\mathbb{C}(V_N \oplus V_N)$ with the vector space endomorphisms of the oscillator representation

$$(60) \quad \mathbb{C}(V_N \oplus V_N) \cong \text{End}_{\mathbb{C}}(\bar{\omega}_a \otimes \bar{\omega}_a).$$

Now, as representations of

$$Sp(V_N) \subset Sp(V_N) \times Sp(V_N) \subset Sp(V_N \oplus V_N),$$

$\bar{\omega}_a \otimes \bar{\omega}_a$ is isomorphic to the oscillator representation $\bar{\omega}_a$ for $V_N \oplus V_N$. Viewed as an element acting on the oscillator representation $\bar{\omega}_a$ for $V_N \oplus V_N$, considering $s \in Sp(V_N \oplus V_N)$, we have that s is order 2 and commutes with switching the two copies of V_N .

In particular, this implies that s is a scalar multiple of the switch of coordinates

$$g : V_N \oplus V_N \rightarrow V_N \oplus V_N$$

i.e. the matrix

$$\begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}.$$

In fact, since s is an involution, we have that

$$(61) \quad s = (\pm 1) \cdot g.$$

To determine how g acts on the level of $\mathbb{C}(V_N \oplus V_N)$, since we are studying the oscillator representation, restricted along the diagonal embedding

$$V_N \subset V_N \oplus V_N,$$

we need to consider the alternative orthogonal decomposition

$$V_N \oplus V_N = \Delta_{V_N} \oplus \Delta_{V_N}^-$$

where Δ_{V_N} and $\Delta_{V_N}^-$ denote the diagonal and codiagonal

$$\begin{aligned} \Delta_{V_N} &= \{(v, v) \mid v \in V_N\} \\ \Delta_{V_N}^- &= \{(v, -v) \mid v \in V_N\}. \end{aligned}$$

Changing bases, therefore, s is expressed as the matrix

$$\begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}.$$

It remains to understand the composition action of $-I \in Sp(V_N)$ (using $\Delta_{V_N}^- \cong V_N$) on $\bar{\omega}_a$ as the element of $\mathbb{C}V_N$. Recalling the Schrödinger model of $\bar{\omega}_a$ as, for a decomposition into Lagrangians $V_N = \Lambda \oplus \Lambda'$, the space of functions

$$f : \Lambda \rightarrow \mathbb{C}$$

(on which $(\ell, \ell') \in \Lambda \oplus \Lambda'$ sends f to

$$((\ell, \ell')[f])(x) = \psi_a(\langle \ell', x \rangle) f(x - \ell).)$$

Therefore, it is clear that $-I$ acts by

$$-I[f](x) = \epsilon(-1)^N f(-x).$$

Finally, for $\mathbf{1}_x$ the character function of $x \in \Lambda$,

$$\sum_{(\ell, \ell') \in V_N} \mathbf{1}_x = q^n \cdot \mathbf{1}_{-x}$$

Identifying the character function of a vector with the vector itself, and using $\mathbb{C}V_N$, we see that $-I \in Sp(V_N)$ corresponds to the element

$$\frac{\epsilon(-1)^N}{q^N} \cdot \sum_{v \in V_N} v \in \mathbb{C}V_N.$$

Therefore, as an element of $\mathbb{C}(V_N \oplus V_N)$, g is

$$(62) \quad g = \frac{\epsilon(-1)^N}{q^N} \sum_{v \in V_N} (v, -v).$$

It remains to determine the sign of (61). We claim that

$$(63) \quad s = \epsilon(-1)^N \cdot g = \frac{1}{q^N} \sum_{v \in V_N} (v, -v).$$

For clarity, let us write $(V_N)_1, (V_N)_2$ for the two copies of V_N appearing in (60) corresponding to the two factors of $\bar{\omega}_a$. Again, using the Schrödinger model, for decompositions into Lagrangians $(V_N)_i = \Lambda_i \oplus \Lambda'_i$, we can identify the two copies of $\bar{\omega}_a$ with the spaces of functions $f : \Lambda'_i \rightarrow \mathbb{C}$ for $i = 1, 2$. In particular, we identify $\bar{\omega}_a \otimes \bar{\omega}_a$ with the space of functions

$$f : \Lambda'_1 \oplus \Lambda'_2 \rightarrow \mathbb{C}.$$

On this level, the involution $s : (\bar{\omega}_a)^{\otimes 2} \rightarrow (\bar{\omega}_a)^{\otimes 2}$ acts by sending

$$f(x, y) \mapsto f(y, x)$$

for $(x, y) \in \Lambda'_1 \oplus \Lambda'_2$. To check (63), it suffices to prove that, for a pair $(x, y) \in \Lambda'_1 \oplus \Lambda'_2$, applying (63) i.e.

$$(64) \quad \frac{1}{q^N} \sum_{\ell \in \Lambda, \ell' \in \Lambda'} (\ell + \ell') \otimes (-(\ell + \ell'))$$

to $\mathbf{1}_{(x,y)} : \Lambda'_1 \oplus \Lambda'_2 \rightarrow \mathbb{C}$ gives $\mathbf{1}_{(y,x)}$. Now as elements of $\mathbb{C}V_N$, we have

$$(\ell + \ell') = \psi_a(-\frac{1}{2}S(\ell', \ell)) \cdot (\ell') \star (\ell).$$

Therefore, applying (64) to $\mathbf{1}_{(x,y)}$ gives

$$(65) \quad \frac{1}{q^N} \cdot \sum_{\ell \in \Lambda} \sum_{\ell' \in \Lambda'} (\ell') \otimes (-\ell') (\psi_a(-S(\ell', \ell)) \cdot \mathbf{1}_{x+\ell, y-\ell}).$$

Now $(\ell') \otimes (-\ell')$ is considered as an element of $\mathbb{C}V_N \otimes \mathbb{C}V_N$ here, and it acts on $\mathbf{1}_{x+\ell, y-\ell}$ by

$$(\ell') \otimes (-\ell') (\mathbf{1}_{x+\ell, y-\ell}) = \psi_a(S(\ell', x+\ell) + S(-\ell', y-\ell)) \cdot \mathbf{1}_{x+\ell, y-\ell}.$$

Therefore, at each $\ell \in \Lambda$, the corresponding term of (65) is

$$(66) \quad \frac{1}{q^N} \sum_{\ell' \in \Lambda'} (\ell') \otimes (-\ell') (\psi_a(-S(\ell', \ell)) \cdot \mathbf{1}_{x+\ell, y-\ell}) =$$

$$\frac{1}{q^N} \sum_{\ell' \in \Lambda'} \psi(S(\ell', x-y+\ell)) \cdot \mathbf{1}_{x+\ell, y-\ell}.$$

This sum vanishes for every choice of ℓ with $x-y+\ell \neq 0$. Thus, the only surviving term occurs for $\ell = y-x$, for which (66) is

$$\frac{1}{q^N} \cdot q^N \cdot \mathbf{1}_{y,x} = \mathbf{1}_{y,x},$$

as required.

Hence, to summarize, on each of copy of $\mathbb{C}(V_N \oplus V_N)$ in (57) appearing as one of the summands in (58) or (59), σ is defined by applying $? \star s$, where $s \in \mathbb{C}(V_N \oplus V_N)$ denotes the “switch element” and is defined by (63).

To further understand $\mathcal{T}_{O_{sc}}$ and verify that it indeed forms a T-algebra, we recall the behavior of the oscillator representations under the tensor product.

8.2. More observations on oscillator representations. First, note the following elementary

Lemma 15. *For all choices of $c, d \in \mathbb{F}_q^\times$, we have an isomorphism of $Sp(V_N)$ -representations*

$$\bar{\omega}_c \otimes \bar{\omega}_d \cong \bar{\omega}_{-c} \otimes \bar{\omega}_{-d}.$$

Proof. The claim is trivial if $q \equiv 1 \pmod{4}$, since then -1 is a square and

$$\bar{\omega}_c \cong \bar{\omega}_{-c}, \bar{\omega}_d \cong \bar{\omega}_{-d}.$$

Suppose $q \equiv 3 \pmod{4}$. Since $-1 \notin (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$, we have either that

$$c \equiv d \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2 \text{ or } c \equiv -d \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2.$$

Again, the claim is clear if $c \equiv -d \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$. Therefore, it remains to show that

$$(67) \quad \bar{\omega}_c \otimes \bar{\omega}_c \cong \bar{\omega}_{-c} \otimes \bar{\omega}_{-c}.$$

This fact follows from the fact that we may consider $\bar{\omega}_c \otimes \bar{\omega}_c$ as the restriction

$$\bar{\omega}_c \otimes \bar{\omega}_c \cong \text{Res}_{Sp(V_N)}^{Sp(V_N \oplus V_N)}(\bar{\omega}_c)$$

using the diagonal inclusion

$$Sp(V_N) \subseteq Sp(V_N) \times Sp(V_N) \subseteq Sp(V_N \oplus V_N).$$

In this inclusion, we embed $V_N \subseteq V_N \oplus V_N$ diagonally, and tensor the form S_V by the diagonal identity matrix

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

It is equivalent to tensor S_V by

$$\begin{pmatrix} -1 & 0 \\ 0 & -1, \end{pmatrix}$$

which gives

$$\bar{\omega}_{-c} \otimes \bar{\omega}_{-c} \cong \text{Res}_{Sp(V_N)}^{Sp(V_N \oplus V_N)}(\bar{\omega}_c),$$

and therefore (67). □

Using this Lemma, we observe that, in particular, $\bar{\omega}_a \otimes \bar{\omega}_b$ is always self-dual. We may therefore consider it as a degree 2 element, “inverse to itself” under the tensor product, up to copies of $\mathbb{C}V_N$. In fact, the tensor product acts on small tensor products of the oscillator representations of $Sp(V_N)$ as the operation of an abelian group of order 4, depending on $q \bmod 4$:

Case 1: If $q \equiv 1 \pmod{4}$, then fixing $a, b \in \mathbb{F}_q^\times$ such that $b/a \notin (\mathbb{F}_q^\times)^2$, write

$$A = 1, B = \bar{\omega}_a, C = \bar{\omega}_b, D = \bar{\omega}_a \otimes \bar{\omega}_b.$$

Here, we can see that A, B, C, D tensor corresponding for example to addition on elements

$$(0, 0), (1, 0), (0, 1), (1, 1) \in \mathbb{Z}/2 \times \mathbb{Z}/2$$

since $\bar{\omega}_a$ and $\bar{\omega}_b$ are self-dual, (with an additional copy of $\mathbb{C}V_N$ for every two that is cancelled in this addition).

Case 2: If $q \equiv 3 \pmod{4}$, then fixing an $a \in \mathbb{F}_q^\times$, write

$$A = 1, B = \bar{\omega}_a, C = \bar{\omega}_a \otimes \bar{\omega}_a, D = \bar{\omega}_{-a}.$$

In this case, we see that the classes act with respect to the tensor product as a $\mathbb{Z}/4$ -grading in a similar way, corresponding to 0, 1, 2, 3 $\in \mathbb{Z}/4$, respectively, since, by Lemma 15,

$$\begin{aligned} \bar{\omega}_a \otimes \bar{\omega}_a \otimes \bar{\omega}_a &= (\bar{\omega}_{-a} \otimes \bar{\omega}_{-a}) \otimes \bar{\omega}_a = \\ &= \bar{\omega}_{-a} \otimes \mathbb{C}V_N. \end{aligned}$$

To give a grading of this category by “flavours of the oscillator representation,” we begin with the following result.

Proposition 16 (following P. Deligne, [2]). *Suppose $n < N$. For all $a \in \mathbb{F}_q^\times$, we have*

$$(68) \quad \text{Hom}_{Sp(V_N)}(\bar{\omega}_a, (\mathbb{C}V_N)^{\otimes n}) = 0.$$

For $a, b \in \mathbb{F}_q^\times$ such that $-b/a \notin (\mathbb{F}_q^\times)^2$, we have

$$(69) \quad \text{Hom}_{Sp(V_N)}(\bar{\omega}_a \otimes \bar{\omega}_b, (\mathbb{C}V_N)^{\otimes n}) = 0.$$

Proof. Consider for an $n < N$

$$(70) \quad (\mathbb{C}V_N)^{\otimes n} = \mathbb{C}(V_N \oplus \cdots \oplus V_N).$$

Fix an $a \in \mathbb{F}_q^\times$. We begin by proving (69).

First note that for W a vector space with alternating form S_W , we may consider the subspace \widehat{W} of linear embeddings in $\text{Hom}_{\mathbb{C}}(W, V_N)$ preserving the forms on W and V_N . Then \widehat{W} has a natural transitive action of $Sp(V_N)$ by composition, giving it the structure of a representation of $Sp(V_N)$. For an inclusion $\iota \in \widehat{W}$, we may consider the stabilizer subgroup

$$(Sp(V_N))^\iota \subseteq Sp(V_N)$$

fixing ι . By definition, we may also describe \widehat{W} as the induction of the trivial representation of $(Sp(V_N))^\iota$ to $Sp(V_N)$

$$(71) \quad \widehat{W} \cong \text{Ind}_{Sp(V_N)}^{(Sp(V_N))^\iota}(1).$$

In fact, these permutation representations, for all W, S_W of dimension $\leq n$, form a decomposition of (70)

$$(72) \quad (\mathbb{C}V_N)^{\otimes n} = \bigoplus_{(W, S_W), \dim(W) \leq n} \widehat{W}.$$

Therefore, to prove the claimed statement, it suffices to prove that

$$\text{Hom}_{Sp(V_N)}(\bar{\omega}_a, \widehat{W}) = 0$$

for every choice of W, S_W of dimensions $\leq n$. Now, by (71), we have

$$\text{Hom}_{Sp(V_N)}(\bar{\omega}_a, \widehat{W}) = \text{Hom}_{(Sp(V_N))^\iota}(\bar{\omega}_a, 1).$$

Now given a W, S_W with $\dim(W) < N$, for a choice of form-preserving inclusion $\iota : W \hookrightarrow V$, there exists an orthogonal decomposition

$$V_N = V'_N \oplus V''_N$$

such that the image of ι is contained in V_2 . In particular, therefore, we have that the subgroup

$$Sp(V'_N) \subset Sp(V'_N) \times Sp(V''_N) \subset Sp(V_N)$$

contains the stabilizer subgroup $(Sp(V_N))^\iota$. Thus, it would suffice to show that

$$Hom_{Sp(V'_N)}(Res_{Sp(V'_N)}^{Sp(V_N)}(\bar{\omega}_a), 1) = 0.$$

This follows from the fact that the restriction

$$Res_{Sp(V'_N)}^{Sp(V_N)}(\bar{\omega}_a)$$

decomposes as a sum of copies of the oscillator representation $\bar{\omega}_a$ for V_1 , which does not contain any trivial representations.

Now fix $a, b \in \mathbb{F}_q^\times$ such that $-b/a \notin (\mathbb{F}_q^\times)^2$, i.e. such that $\bar{\omega}_a$ and $\bar{\omega}_b$ are not dual to each other. Consider

$$Hom_{Sp(V_N)}(\bar{\omega}_a \otimes \bar{\omega}_b, (\mathbb{C}V_N)^{\otimes n}) = Hom_{Sp(V_N)}(\bar{\omega}_a, \bar{\omega}_{-b} \otimes (\mathbb{C}V_N)^{\otimes n}).$$

Again, using the decomposition (72) and (71), it suffices to prove, for every W , S_W of dimension $dim(W) \leq n$, for an $\iota \in \widehat{W}$,

$$\begin{aligned} Hom_{Sp(V_N)}(\bar{\omega}_a, \bar{\omega}_{-b} \otimes \widehat{W}) &= Hom_{Sp(V_N)}(\bar{\omega}_a, \bar{\omega}_{-b} \otimes Ind_{Sp(V_N)}^{(Sp(V_N))^\iota}(1)) = \\ &Hom_{(Sp(V_N))^\iota}(Res_{(Sp(V_N))^\iota}^{Sp(V_N)}(\bar{\omega}_a), Res_{(Sp(V_N))^\iota}^{Sp(V_N)}(\bar{\omega}_{-b})). \end{aligned}$$

As before, since $dim(W) < N$, we can decompose $V_N = V'_N \oplus V''_N$ so that the image of ι is contained in V'_N , and therefore, it suffices to prove

$$Hom_{Sp(V'_N)}(Res_{Sp(V'_N)}^{Sp(V_N)}(\bar{\omega}_a), Res_{Sp(V'_N)}^{Sp(V_N)}(\bar{\omega}_{-b})) = 0,$$

which again follows since the restriction of the oscillator representation of $Sp(V_N)$ corresponding to a , resp. $-b$, to $Sp(V'_N)$ will consist of copies of the oscillator representation on V'_N corresponding to a , resp. $-b$, which are not isomorphic (and disjoint) since by assumption,

$$a \not\equiv -b \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2.$$

□

8.3. Grading, consistency, and semisimplicity. Therefore, the following definition is well-defined:

Definition 17. *Say a simple object of $Rep(Sp(V_N))$ is in the class corresponding to an object A, B, C, D if it appears as a summand of that object, tensored with the n th tensor power $(\mathbb{C}V_N)^{\otimes n}$ of the permutation representation for some $n < N$.*

Now, tensoring A, B, C, D as objects of $Sp(V_N)$, however, may give additional copies of $\mathbb{C}V_N$ upon cancellation - the number of which is non-trivial to calculate, and will vary depending again on $q \bmod 4$. We give an initial calculation of the number of these copies of $\mathbb{C}V_N$ for both cases of $q \bmod 4$, for the purpose of explicitly justifying that it does not depend on N .

We have, for a finite set S ,

$$(A \oplus B \oplus C \oplus D)^{\otimes S} = \bigoplus_{S_1 \amalg S_2 \amalg S_3 \amalg S_4 = S} A^{\otimes S_1} \otimes B^{\otimes S_2} \otimes C^{\otimes S_3} \otimes D^{\otimes S_4}$$

Since A is always trivial, it will contribute no new factors of $\mathbb{C}V_N$.

Case 1: $q \equiv 1 \pmod{4}$. By the self-duality of B and C , and writing $D = B \otimes C$, we see that the term $A^{\otimes S_1} \otimes B^{\otimes S_2} \otimes C^{\otimes S_3} \otimes D^{\otimes S_4}$ will give

$$\lfloor \frac{|S_2| + |S_4|}{2} \rfloor + \lfloor \frac{|S_3| + |S_4|}{2} \rfloor$$

additional tensor factors of X . A copy of B, C , or D is present precisely when the first, second, or both terms are altered by the floor function.

Case 2: $q \equiv 3 \pmod{4}$. We may re-write

$$A^{\otimes S_1} \otimes B^{\otimes S_2} \otimes C^{\otimes S_3} \otimes D^{\otimes S_4} = B^{\otimes S_2 \amalg 2 \cdot S_3} \otimes D^{\otimes S_4},$$

and B and D are dual, giving

$$\min(|S_2| + 2 \cdot |S_3|, |S_4|) + \lfloor 2 \cdot \frac{||S_2| \amalg 2 \cdot |S_3| - |S_4||}{4} \rfloor$$

additional tensor factors of X , with a copy of B, C , or $D \otimes X$ present depending on $||S_2| \amalg 2 \cdot |S_3| - |S_4|| \pmod{4}$.

Therefore, we may write

$$(A \oplus B \oplus C \oplus D)^{\otimes S} = (A \otimes (\mathbb{C}V_N)^{\otimes S_A}) \oplus (B \otimes (\mathbb{C}V_N)^{\otimes S_B}) \oplus (C \otimes (\mathbb{C}V_N)^{\otimes S_C}) \oplus (D \otimes (\mathbb{C}V_N)^{\otimes S_D}).$$

for finite sets S_A, S_B, S_C, S_D depending only on S and q .

Now since the definitions of the spaces $\mathcal{T}_{Osc}(S, T)$ all assume $N \gg |S|, |T|$, applying again Proposition 16, we see that $\mathcal{T}_{Osc}(S, T)$ (are well-defined, and) do in fact form a T-algebra. Let us denote by

$$\overline{\mathcal{D}_{q,t}} = \mathcal{L}(\mathcal{T}_{Osc})$$

the rigid, \mathbb{C} -linear additive category it constructs. Write

$$\mathcal{D}_{q,t}$$

for its semisimplification.

Theorem 18. *The category $\mathcal{D}_{q,t}$ is semisimple. In fact, $\overline{\mathcal{D}_{q,t}}$ is semisimple for $q^t \neq \pm q^n$, $n \in \mathbb{N}_0$.*

Proof. Since $\overline{\mathcal{D}_{q,t}}$ is defined by a sub-T-algebra of $Rep(Sp_t(V_N))$, we have an embedding of categories

$$\overline{\mathcal{D}_{q,t}} \rightarrow Rep(Sp_t(V_N)).$$

Therefore, as before, we can apply Proposition 11 to conclude that $\overline{\mathcal{D}_{q,t}}$ is semisimplifiable. Therefore, $\mathcal{D}_{q,t}$ is a semisimple pre-Tannakian category.

As for $\overline{\mathcal{D}_{q,t}}$, similarly as in Lemma 12, we can therefore determine semisimplicity by the non-vanishing of

$$(73) \quad \det(\text{tr}(a_i \circ a_j))$$

where a_i form the basis of endomorphism algebras of tensor powers of the basic object. (73), in turn, are products of sizes of orbits of symplectic groups $Sp(2N, \mathbb{F}_q)$. The number (73) is therefore a polynomial of q^N which divides

$$|Sp(2N, \mathbb{F}_q)| = \prod_{i=1}^N ((q^{2i} - 1)q^{2i-1})$$

for $N \gg 0$. Substituting q^t for q^N gives the statement. \square

8.4. Non-oligomorphy of $\mathcal{D}_{q,t}$. Finally, we note that, in fact, the category $\mathcal{D}_{q,t}$ defined by \mathcal{T}_{Osc} can not be obtained from the construction of oligomorphic groups as described in [4].

Suppose there exists an oligomorphic group Γ (with some measure μ_t) giving $\mathcal{D}_{q,t}$. This category, by above, is graded by a order 4 abelian group $G = \mathbb{Z}/2 \times \mathbb{Z}/2$ or $\mathbb{Z}/4$. We can embed Γ into an infinite symmetric group, whose orbits decompose as disjoint unions of finitely many orbits of Γ . Therefore, we obtain an embedding from the interpolated category of representations of the symmetric group with basic object of dimension q^t , into $\mathcal{D}_{q,t}$:

$$(74) \quad Rep(S_{q^t}) \rightarrow \mathcal{D}_{q,t}.$$

In [1], Proposition 8.3 gives that tensor functors of the form (74) are equivalent to objects $A \in \text{Obj}(\mathcal{D}_{q,t})$ with the structure of an algebra, i.e. an associative, commutative, unital multiplication morphism

$$\mu : A \otimes A \rightarrow A$$

such that the *trace* on A , which is defined as the composition

$$\text{Tr}_A : A \xrightarrow{\text{Id} \otimes \epsilon} A \otimes A \otimes A \xrightarrow{\mu \otimes \text{Id}} A \otimes A \xrightarrow{\eta} 1,$$

satisfies that its composition with μ

$$\text{Tr}_a \circ \mu : A \otimes A \rightarrow 1$$

makes A its own dual in $\mathcal{D}_{q,t}$. Such an object A can be described as a topological quantum field theory (TQFT) depending only on connected components, i.e. a *set quantum field theory* (SQFT) in $\mathcal{D}_{q,t}$. (It was called an étale algebra in [5].)

To prove $\mathcal{D}_{q,t}$ cannot be constructed from an oligomorphic group, it then suffices to prove the following

Proposition 19. *There does not exist an SQFT A in $\mathcal{D}_{q,t}$ which has non-trivial summands in all degrees grading $\mathcal{D}_{q,t}$ (i.e. in $\mathbb{Z}/2 \times \mathbb{Z}/2$ if $q \equiv 1 \pmod{4}$, and in $\mathbb{Z}/4$ if $q \equiv 3 \pmod{4}$).*

Proof. By contradiction. Suppose A is an SQFT in $\mathcal{D}_{q,t}$ such that, denoting the order four abelian group of gradings by G , A decomposes into summands

$$A = \bigoplus_{\alpha \in G} A_\alpha,$$

all non-zero.

First recall that, as a representation of $Sp(V_N)$, the oscillator representation decomposes into two simple summands

$$\bar{\omega}_a = \bar{\omega}_a^+ \oplus \bar{\omega}_a^-$$

of dimensions

$$\dim(\bar{\omega}_a^\pm) = \frac{q^N \pm 1}{2}.$$

Fix $a \neq b \in (\mathbb{F}_q^\times)/(\mathbb{F}_q^\times)^2$.

For each degree $\alpha \in G$ corresponding to the degree of a summand of one of the oscillator representations, say

$$\alpha = \text{deg}(\bar{\omega}_a^+),$$

there exists an object $Z \in (\mathcal{D}_{q,t})_0$ such that A_α contains

$$Z \otimes \bar{\omega}_a^+.$$

Since A is an SQFT, it is self-dual, and

$$Z^\vee \otimes \bar{\omega}_{-a}^+ \subseteq A_{-\alpha}.$$

(For the case of $\bar{\omega}_a^-$, the discussion is similar.)

Now consider the switch operation

$$\sigma : A \otimes A \rightarrow A \otimes A$$

of (63). Consider the map

$$\epsilon : 1 \rightarrow A^{\otimes 4} = (A \otimes A) \otimes (A \otimes A)^\vee$$

arising again from the self-duality of A . Consider the summand of $A^{\otimes 4}$ which is a tensor multiple of a

$$(75) \quad \bar{\omega}_a^\pm \otimes \bar{\omega}_b^\pm \otimes (\bar{\omega}_a^\pm)^\vee \otimes (\bar{\omega}_b^\pm)^\vee.$$

To be involved non-trivially in the image of ϵ (and to contribute a term in the switch operation), the signs of the factors which are dual to each other in (75) must match.

Consider the factor

$$(76) \quad \bar{\omega}_a^\pm \otimes \bar{\omega}_b^\pm$$

in (75) (the signs may not match). Recalling the decompositions found in Theorem 2, we know that for each choice of signs, (76) has no higher multiplicity of simple summands. Similarly,

$$(77) \quad \bar{\omega}_a^\pm \otimes (\bar{\omega}_a^\pm)^\vee \cong \bar{\omega}_a^\pm \otimes \bar{\omega}_{-a}^\pm$$

in (75) also must have no higher multiplicity of simple summands. The simple summands involved in Cases 1 and 2 of Theorem 2 are distinct, we know that (76) and (77) share no simple summands. These summands may have extra factors of $\mathbb{C}V_N$, arising from factors of $Z \otimes Z^\vee$. Applying Proposition 16 again implies, by choosing large enough N , that in $(\mathcal{D}_{q,t})_0$, the summands of $A \otimes A$ corresponding to (76) and (77) remain distinct. Therefore, the switch operation σ acts non-trivially, which is a contradiction with the axioms of an SQFT.

□

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